

INVESTMENT SERVICE APPLICATION FORM

Serial No. _____

Now manage your investments with your YES BANK investment account. Monitor your Mutual Fund & view your insurance portfolio at the click of a button through your Net Banking login.

I/We request you to open an investment account in my/our name as per the following details:

A/c No. _____ to be linked

Name of first applicant: Mr. Ms. Mrs. Dr. Prof. Customer ID No: _____
First Name Middle Name Last Name

Name of second applicant / Authorized Signatory/Guardian: Mr. Ms. Mrs. Dr. Prof. Customer ID No: _____
First Name Middle Name Last Name

Name of third applicant / Authorized Signatory: Mr. Ms. Mrs. Dr. Prof. Customer ID No: _____
First Name Middle Name Last Name

Mode of Operation Single Either/Any one or Survivor Jointly Others
Type of Account Individual NRE NRO HUF Company Partnership Firm
 Trust Sole Proprietor Others (please specify) _____

NOMINATION DETAILS

Nomination required Yes No If selected No, in case of Single Holding client has to fill Non Intention to Nominate Form.

Name of Nominee: Mr. Ms. Mrs. Dr. Prof. Relationship _____
First Name Middle Name Last Name

Date of Birth of Nominee:
D D M M Y Y Y Y

Is nominee a Minor: Yes / No , If Yes, name & address of Guardian:

Signature of Guardian

Signature of 1st Applicant

Signature of 2nd Applicant

Signature of 3rd Applicant

Take control of your Mutual Fund investments. To make investing simpler for you, YES BANK offers you MF ONLINE. Now perform transactions online at your own comfort.

Yes, I want to sign up for MFOONLINE. (If Yes, please fill the Mandate Form) I wish to convert all my YES BANK offline folios I do not wish to convert my offline folios

Indian Address

PAN/GIR No.: _____
 Address _____

 City: _____ State: _____
 Country: _____ Postal/Zip Code: _____
 Landmark: _____
 Tel No.: _____ Mobile: _____
 E-Mail ID: _____

Overseas Address (Mandatory in case of NRI Client)

PAN/GIR No.: _____
 Address _____

 City: _____ State: _____
 Country: _____ Postal/Zip Code: _____
 Landmark: _____
 Tel No.: _____ Mobile: _____
 E-Mail ID: _____

Second Applicant

PAN/GIR No.: _____

Name of second applicant / Authorized Signatory/Guardian: Mr. Ms. Mrs. Dr. Prof. Customer ID No: _____

_____ First Name _____ Middle Name _____ Last Name _____

Signature(s) with stamp of organisation whenever necessary

Signature of 1st Applicant

E-Mail ID: _____

Third Applicant

PAN/GIR No.: _____

Name of second applicant / Authorized Signatory/Guardian: Mr. Ms. Mrs. Dr. Prof. Customer ID No: _____

_____ First Name _____ Middle Name _____ Last Name _____

Signature(s) with stamp of organisation whenever necessary

Signature of 1st Applicant

E-Mail ID: _____

Declaration:

I/We authorize YES BANK Limited ("Bank") to link the above mentioned YES BANK Account maintained by me to the investments made by me in above mentioned combination and to transfer funds in any form and manner, including but not limited to, by way of debit of my/our above mentioned account, issue of pay orders/ demand drafts/ bankers cheque from my/our above mentioned account for the purchase of mutual fund units/securities in pursuance of the instructions given by me/ us from time to time. I/We hereby state that all acts, deeds and things done by the Bank based on such instructions shall be binding on me/us.

Irrespective of the instructions given earlier, I/We, the second and third holders, irrevocably constitute the first holder as my/our agent and agree that the instructions given by the first holder to purchase mutual funds would be funded through the above mentioned bank account.

Irrespective of the instructions given earlier, I/We, the second and third holders agree that the instructions may be given by the first holder in name of the first holder only or first holder jointly with any other persons to the exclusion of second and third holders. I/We, the second and third holders will not raise any objections to the Bank acting on such instructions.

I/We hereby understand that YES BANK Limited ("Bank") is acting as distribution agent of various mutual funds, insurance companies and other companies/ corporations/ entities and is not as principal. I/We hereby agree and undertake not to hold the Bank liable for any loss or damage caused by reason of failure or delay of any company/ corporation/ entities to deliver any units/securities purchased/ issuance of policy or failure or delay of any company/ corporation/ entities to make payment for any units/securities sold/ policy surrendered and shall keep the Bank harmless and free from any claim in respect thereof. The Bank shall also not be liable for any delay, failure or refusal of the mutual fund/ insurance company/ any other company/ corporation or other entities in registering or transferring units/ policies/ other securities in my/ our name or for any interest, dividend or other loss caused to me/ us arising therefrom.

I/We agree and confirm that we acknowledge and understand that investments in mutual fund/ other securities are subject to market risks including possible loss of principal amount invested. The value of my/our units/ securities will fluctuate. If I/We redeem/ liquidate my/our units/ securities, I/ We may receive more or less than I/We have paid depending upon the market value of the units/securities. Past results are not a guarantee of future performance. I/We expressly agree and acknowledge that all decisions to purchase or sell or surrender units/

securities/ policies are solely made by me/ us on the basis of my/ our own personal judgment arrived at after due consideration. I/We agree and understand that the Bank does not in any manner guarantee payment/liquidity/ redemption/ repayment of any units/securities/ dividends/interest/ any other income/profits nor does the Bank make any offer for purchase or sale of any units/securities. The Bank or its directors or employees shall not be liable for any advice or representation made to me/us in the course of investments made by me/us. I/We understand that the sum invested by me/us are not Bank deposits and are not insured/ endorsed/ guaranteed by the Bank. I/We understand that insurance is subject matter of solicitation and issuance of policies and payment of claims are at sole discretion of the insurance company.

I/We expressly understand and agree that the Bank, its subsidiaries and affiliates shall not be liable to me/us for any direct, indirect, incidental, special consequential or exemplary damages which may be incurred by you, however and under any theory of liability. This shall include but not be limited to any, loss of profit (whether incurred directly or indirectly), any loss of goodwill or business reputation or other intangible loss or for any damages which may be incurred by you whether the Bank is advised of or should have been aware of the possibility of any such losses arising.

I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

I/We hereby confirm that the EUIN is not required for transactions executed by me/us through YES BANK Online MF channel, as these are "execution-only" transactions without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

I/We hereby agree that the services provided by the Bank are subject to the General terms and conditions as stated on bank's website www.yesbank.in.

Signature of 1st Applicant

Signature of 2nd Applicant

Signature of 3rd Applicant

FOR BANK USE ONLY

Branch: _____ Lead Generator Name: _____ Code: _____

Employee Code: _____ Lead Converter Name: _____ Code: _____

RM Name: _____

Account Sourcing Date: _____ Customer Segment: _____

BSDL/BBL: _____

_____ Name _____ Sign _____ Cust ID _____

NOC USE ONLY

Mutual Fund Account No.: _____ DVU: _____

Account Opening Date: _____ Maker: _____

_____ Y Y Y Y _____ Checker: _____

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