

**MANAGED PROGRAM ANNEXURE
For Individual Customers**
(To be filled by applicant's only)

* Mandatory Fields

(FOR BANK USE ONLY) **CIF Barcode:** _____ **Tracker ID*:**

YES BANK Managed Program Setup

YES FIRST Variant^:

- YES FIRST Primary (YF1) YES FIRST Secondary (YF2)
 YF1OPDT YF2OPDT YFW1 YFGL1 YFGV1 YFL1 YFP1

YES Premia Variant:

- YES Premia Primary (YPR1) YES Premia Secondary (YPR2)

Grouping Requests:

Primary Customer Name: _____

Primary Customer ID: _____

Signature of Primary Customer

Secondary Customer Name (YF2/YF2OPDT/YPR2)	Secondary Cust ID	Relationship with Primary Member	Proposed Flag (YF2/YF2OPDT/YPR2)	Signature of Secondary Customer

^YES FIRST Variants: YF1 OPDT - Owner/Promoter/Director/Top Management of Corporate Relationships, YF2 OPDT - YF1OPDT Family members, YFW1-YES FIRST for Women, YFP1 - YES Armed forces, IAS/RBI/IPS employees, YFL1 - YES FIRST for Legal Leaders, YFGL1 - YES GLORY for Iconic Leaders, YFGV1 - YES FIRST GARV for sportsmen

Net Salary Details* Applicable and Mandatory for only YCOP customers

I hereby declare that my monthly net salary is as per the below mentioned bracket

- <Rs 1.5 lakhs 1.5-3 Lakhs 3-4 Lakhs >4 Lakhs

Signature of the Customer

Minimum monthly Salary Criteria for YES FIRST Program is 3 Lakhs and YES Premia is 1.5 Lakhs

DEMAT & TRADING SERVICE through E-KYC policy (Applicable for Resident Customers)

- Sign me up for DEMAT & YES Securities Trading Account
 For attractive brokerage and value services, avail our Value Subscription Plans**(VSP)

	<input type="checkbox"/> PLAN 3000	<input type="checkbox"/> PLAN 10500
Effective Saving	₹ 7,200	₹ 37,200
Complimentary Turnover	₹ 12,50,000	₹ 55,00,000

** Higher Value Plans are available basis Investment and Trading needs

I/We hereby authorize YES Securities to contact me/us to complete the ONLINE account opening formalities for opening a YES BANK DEMAT & YES SECURITIES Trading Account post activation of YES BANK Savings Account

Signature of 1st Applicant

Signature of 2nd Applicant

Signature of 3rd Applicant

In case of Minor, guardian's signature required

Date:

Date:

Date:

Office Use Section

OPDT CRM Linkage – For OPDT Customers Only

- Owner Promoter Co-Promoter Director Executive Director Managing Director
 Founder Co-Founder Trustee Partner Finance Director
 Chief Financial Officer Chief Operating Officer Chief Executive Officer Others, Specify _____

Related Non Individual CUST ID _____

Non CRM Linkage

- YBL Staff- Designation: _____ YES Vijay- Designation: _____

Intentionally Left Blank

SAAC Number: _____
CIF BAR Code: _____

POWER OF ATTORNEY (THIS DOCUMENT IS VOLUNTARY)

This Power of Attorney ("Power of Attorney") is given in favor of YES SECURITIES (INDIA) LIMITED on the _____ day of _____ 20____ by _____

- a) The person(s) specified in the **Schedule [A]** hereinafter referred to as the "**Bank Account Holder(s)**"; and
- b) The person(s) specified in the **Schedule [B]** hereinafter referred to as the "**Securities (Demat) Account Holder(s)**"

WHEREAS:

(a) The Client (the person set out in Schedule [C]) has read and understood the SEBI prescribed Rights and Obligations document and is desirous of registering as a Client of YES SECURITIES (INDIA) LIMITED, a company, incorporated under the provisions of the Companies Act, 1956, being a Member of BSE Limited & National Stock Exchange of India Limited, having its registered office at Unit no. 602 A, 6th floor, Tower 1 & 2, India Bulls Finance Center, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400013 and one of its processing office at Part Gr. & 1st Floor, T. S. No. 60 & 61, Block No. 10, Door No. 24, Ambalathadayar Madam Street, Puducherry (Pondicherry) - 605 001 (hereinafter called the "Stock Broker" / "YSL") for dealing in Securities and other services as may be availed by the Client from time to time. "Securities" shall have the same meaning as assigned to it under the Securities Contracts (Regulation) Act, 1956, as amended from time to time, (b) The Bank Account Holder(s) hold bank account/s with the bank more particularly detailed in Schedule [A] herein below (the "Bank"), and bearing bank account number/s as mentioned therein under title "Bank Account Details" (hereinafter referred to as the "Bank Account") © The Securities (Demat) Account Holder(s) hold securities account/s with YES BANK Limited, a depository participant (DP) registered with SEBI (the "DP") details whereof are mentioned in the Schedule [B] hereunder under title "Securities (Demat) Account Details" (hereinafter referred to as the "Securities Account") (d) The Bank Account Holder(s) and the Securities (Demat) Account Holder(s) are collectively referred to as the "Account Holders" and/or "I/We"; e) To facilitate proper execution and seamless experience of all the transactions executed by Client in its trading account with Stock Broker and for availing various services and to give effect to all the terms and conditions specified in the Account opening form, I/We am/are desirous of appointing the Stock Broker as my/our attorney and confer upon it the powers herein stated.

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/We, the **Account Holder(s)** do hereby jointly and severally authorize/nominate/appoint the Stock Broker for the purpose hereof, acting through any of its officers or employees or any sub-agents, to be my/our true and lawful agent and attorney for me/us and on my/our behalf (the "**Attorney**") and in my /our name and on my/our behalf at my/our risks and costs from time to time to do and exercise or cause to be done and exercised all or any of the following acts, powers and authorities as required to facilitate the transactions executed to be executed in my/our trading account with YSL including fulfillment of all my/our obligations, any losses or liabilities created towards Stock Broker:

1. To operate and debit the Securities Account in my/our name for facilitating transactions in Securities through the Stock Broker to meet all margin / settlement obligations due from me/us to the Stock Broker in terms of the SEBI prescribed Rights and Obligations for transactions executed between me/us and the Stock Broker, and in relation to any of the products/facilities/services offered by the Stock Broker and to do the following for the above purposes including: -

(a) to transfer Securities from the Securities Account for the purpose of delivering the same in respect of Securities sold by me/us or to the pool account of the Stock Broker to effect delivery of the Securities, or for margin purposes, to meet margin obligations for transactions done on any of the Exchange(s) for me/us; (b) to block and/or hold, all or any, of the Securities lying in the Securities Account including in Stock Broker's/DP's internal systems, without any further instructions (this writing constituting my/our instructions to do so), to transfer all the said Securities to the securities account(s) of the Stock Broker in accordance with the instructions of the Stock Broker for the above purpose; © subject to permitted laws, to create/mark a lien, charge or pledge on all or any of the Securities lying in the Securities Account including in DP's internal systems, belonging to me/us or in such other manner as the Stock Broker may determine, without any further instructions (this writing constituting my/our instructions to do so) or to transfer all the said Securities to the securities account(s) of the Stock Broker in accordance with the instructions of the Stock Broker for the purpose of meeting my/our margin requirements / settlement obligations in connection with the trades executed by me/us through the Stock Broker, or any obligation arising out of availing of any services through the Stock Broker.; (d) to sign, deliver, endorse and/or acknowledge all instructions, forms, transfer deeds, depository forms and instruments, slips, receipts and other instruments and writings which are required, necessary or advisable for performing all or any of the powers or authorities hereby conferred; and (e) to submit information to any government, regulatory or statutory authorities and complete, sign and submit any applications or documents for any approvals or for any other reason whatsoever that may be necessary or desirable to perform any of the acts listed in this Power of Attorney.

2. To operate the Bank Account in my/our name, for facilitating transactions in Securities by the Stock Broker as a stock broker, for meeting my/our settlement obligations/ margin requirements and for recovering any outstanding amounts due from me/ us to the Stock Broker arising out of my/our trading activities on the stock exchanges, towards any monies/ fees/ charges outstanding or payable by virtue of me /us using/availing/subscribing to any of the products/facilities/services offered by the Stock Broker and including to do the following for the above purpose-

(a) To block and/or hold and/or create lien on all or any of the monies lying in the Bank Account including in the internal systems of the Bank, where such Bank Account has been opened belonging to me/ us, or in such other approved manner as the Stock Broker may deem fit, without any further instructions (this writing constituting my/our instructions to do so) and/or to transfer all the said monies to the Bank Account of the Stock Broker or in accordance with the instructions of the Stock Broker; (b) To draw, endorse and sign cheques/ demand drafts, withdraw, transfer or deposit monies and issue necessary instructions, including electronic instructions or otherwise operate my/our Bank Account standing in my/our sole name or in the joint names of myself/ourselves in such manner as the Stock Broker may deem fit.

3. To apply for various products like mutual funds, public issues (shares as well as debt instruments), rights, offer of shares, tendering

(Sole/First Account Holder)

shares in open offers or the like pursuant to my/our instructions including applying for redemptions and for meeting the obligations arising out of such products like mutual funds, public issues, rights, offer of shares, tendering shares in open offers or the like.

4. To have the first and paramount lien on the Bank Account and Securities Account of the Account Holder(s) and to exercise such lien in the event of any loss suffered/cost incurred on account of any/all transactions done/executed by me/us/on my/our instructions or as per the terms and conditions of the products/services availed of by me/us.

5. Transfer funds from the Bank Account towards monies/fees/charges etc. due to the DPI Principal payable by virtue of, I/We using/subscribing to any of the facilities/services availed by me/us at my/our instance.

6. AND GENERALLY to do, perform and execute all such other acts, deeds, instruments, matters and things on my/our behalf as the said Attorney may think fit in accordance with this Power of Attorney as fully and effectually and to all intents and purposes as I/we myself/ourselves could do if I/we were personally present AND I/we hereby ratify and confirm and agree and undertake to ratify and confirm whatsoever the said Attorney shall lawfully do or cause to be done by virtue of these presents.

The Attorney and/or the DP is authorized to send to me / us a consolidated summary of scrip wise buy and sell positions taken by me/us with average rates by way of short messaging service or e-mails on a daily basis. The Bank and the DP accordingly is authorized and has consented to follow the instructions of my/our said Attorney with respect to the powers set forth with respect to my/our Bank Account and Securities Account respectively and I/we hereby ratify and confirm any and all transactions, or dealings effected in and for my/our above mentioned accounts by my/our Attorney in accordance with this Power of Attorney. Instructions given by the Attorney to the Bank and/or DP to block funds and/or securities and/or to debit or transfer from a Bank Account and/or Securities Account respectively in connection with a transaction entered into or to be entered into by me/us with or through the Attorney shall be given first priority over any prior or subsequent instructions or cheques given or issued by me/us or my/our authorized representative. The funds or securities once blocked on my/our instructions or on the instructions of the Attorney in connection with a transaction entered into or to be entered into by me / us with or through the Attorney shall be released only with the express written consent of the Attorney to the concerned Bank or the DP. The Attorney has the power to add and/or delete names of authorized employees. The Attorney may appoint and remove at its discretion any authorized signatories for or under the Attorney in respect of all or any of the matters contained herein upon such terms and conditions as the Attorney may think fit. The Stock Broker shall return to me / us the Securities or funds that may have been received by it erroneously or that it was not entitled to receive and vice versa. To induce any depository, stock exchanges, clearing corporation/house, mutual funds, body corporate, banks, approved intermediaries and registrars and share transfer agents (hereinafter collectively referred to as "Transfer Agent") to act, I/we hereby agree that any Transfer Agent receiving a certified true copy of this Power of Attorney may act upon it, and that revocation or termination hereof shall be ineffective as to such Transfer Agent unless and until actual notice or knowledge of such revocation or termination shall have been received by such Transfer Agent.

I/We hereby confirm and agree that this Power of Attorney and authorization shall not be affected by lapse of time. Power of Attorney may be revoked at any time by giving a notice in writing. However, it shall continue in full force and effect until the Attorney receives a written intimation of such revocation and the said request shall bear an acknowledgment of receipt from the Stock Broker, or in the event of the termination thereof by my/our death until the Attorney shall have received actual written intimation bearing acknowledgment stamp by Stock Broker thereof, and such revocation or termination shall in no way affect the validity of this Power of Attorney, with reference to any transaction initiated by me/us or my/our Attorney pursuant to this Power of Attorney, prior to the actual receipt by the Attorney of intimation of such revocation or termination, as above provided. Further I/we agree and confirm that the Power of Attorney will be revoked/cancelled immediately upon fulfillment of my/our obligations pertaining to unsettled transactions and outstanding lawful dues payable to Stock broker/Bank/DP or to any of their affiliates/ subsidiaries as per the terms and conditions of the services and facilities availed by me/us. I/We have read carefully the provisions of this Power of Attorney and understand that it authorizes my/our Attorney, to exercise all rights and powers set forth with respect to my/our Bank Account and Securities Account. I/We understand that anything my/our Attorney may do in the exercise of such rights and powers shall be fully binding upon me/us. I/We specifically agree that any matter or issue arising hereunder shall be governed by and construed exclusively in accordance with the Indian laws. In respect of disputes arising out of transactions or matters governed by the relevant stock exchange(s), the same shall be subject to the regional arbitration centers of the relevant stock exchange(s) and in all other cases, any disputes shall be subject to the jurisdiction of the courts at Mumbai in India.

This Power of Attorney does not intend to give any exclusive rights to Stock Broker on the Bank Account and /or the Securities Account. Stock Broker as my/our constituted Attorney may seek from the DP/Bank information regarding my/our funds/Securities balances for the transactions effected /to be effected by me/us or on behalf of me/us through the Stock Broker and the Stock Broker may also provide transaction information to DP/Bank, from time to time, in order to complete settlement of transactions.

INSTRUCTIONS TO THE DP-

1. The Joint Account Holder(s) authorize the DP to honor all instructions received from the Stock Broker pursuant to this power of attorney. In case of death, disability, winding up or liquidation of any Joint Account Holder, the surviving Joint Account Holder/s and/or the heirs, nominees, executors, administrators, liquidator, successors and legal representatives of the Joint Account Holder who has died or been disabled, dissolved, wound up or liquidated shall forthwith inform the Stock Broker of the same in writing. This power of attorney shall not be revoked as regards such Joint Account Holder on account thereof for all pending obligations and dues of the Client towards the Stock Broker until the same have been fulfilled to the satisfaction of the Stock Broker and shall continue to subsist and bind (and shall be deemed to have been given by) the heirs, executors, administrators, liquidator, successors or legal representatives of such Joint Account Holder, and the Stock Broker in the capacity of a broker shall be entitled to continue to act on the basis hereof. In the event of any change in the number, nature of securities and or the ISIN number of the securities (during any period in which any securities are blocked /pledged pursuant to the aforesaid power of attorney) as a consequence of any corporate action of the concerned company implemented by the Depository, the Stock Broker, as an attorney shall be entitled to accordingly modify the block/pledge created to accommodate the changes in the ISIN number, the number/ nature/ quantity of securities maintaining the block/pledge at the same percentage of the share capital of the company as originally blocked.

I/We further agree that any transfer of funds and Securities as mentioned above, shall be made to accounts as listed in Annexure A to this Power of Attorney, and further that any changes made to the list as mentioned in Annexure A shall be intimated to me / us through any approved mode of communication as mentioned in the Terms and Conditions agreed upon with the Stock Broker.

(Sole/First Account Holder)

SAAC Number: _____

Schedule A - Bank A/c Details	
Bank Name: YES BANK Ltd. Account Type: <input type="checkbox"/> SA <input type="checkbox"/> CA	
Bank A/c No:	
Branch:	Constitution:
First Holder Full Name:	
Signature	
Address:	
Second Holder Full Name:	
Signature	
Constitution:	
Address:	
Third Holder Full Name:	
Signature	
Constitution:	
Address:	

Schedule B - Securities (Demat) Account Details	
DP ID: IN303270	Name of DP: YES BANK Ltd.
Client ID:	Constitution:
First Holder Full Name:	
Signature	
Address:	

Schedule C - Clients Details		
Name of the Client:		Client Code:
Address:		
Witness 1	Name & Address:	Signature:
Witness 2	Name & Address:	Signature:

Annexure A – List of YES SECURITIES (India) Ltd., Bank and Demat accounts where Funds & Securities can be moved

Bank Name	YES BANK Limited	
Account No	041983000000028, 041983000000030, 041987400000013, 041987400000032, 041987400000020, 0419830000000343	
Name of Depository Participant	YES BANK Limited	
Depository	DP ID	Client ID
NSDL	IN303270	10055450, 10055468, 10055601, 10055610

Bank Name	Axis Bank Limited	
Account No	913020043300663, 913020043260510, 913020043307758, 913020043298447, 913020043338846, 913020043310181	
Name of Depository Participant	IL&FS Securities Services Limited	
Depository	DP ID	Client ID
CDSL	16014800	1601480000492810, 1601480000494140, 1601480000492922

YES BANK Account details (for receiving credit of Dividend/ Interest and recover charges pertaining to Demat Account.)				
1. Account Type	SA <input type="checkbox"/> CA <input type="checkbox"/>	2. Account Number		
3. Branch Address				
4. MICR Code		5. IFSC Code		
Name & Signature of Operative Bank A/C Holder(s)				
		1st Holder - Bank A/c	2nd Holder - Bank A/c	3rd Holder - Bank A/c

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