

Format of Holding of Specified securities

1. Name of Listed Entity: YES BANK LIMITED
 2. Scrip Code/Name of Scrip/Class of Security: 532648,YESBANK,
 EQUITY SHARES
 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c) Reg.31(1)(b)
 a. if under 31(1)(b) then indicate the report for quarter ending 12/31/2019
 b. if under 31(1)(c) then indicate date of allotment/extinguishment
 4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

| | Particulars | YES* | NO* |
|---|--|------|-----|
| a | Whether the Listed Entity has issued any partly paid up shares | | NO |
| b | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| c | Whether the Listed Entity has any shares against which depository receipts are issued? | | NO |
| d | Whether the Listed Entity has any shares in locked-in? | | NO |
| e | Whether any shares held by promoters are pledge or otherwise encumbered? | YES* | |

*Promoter Group

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

| Category | Category of Shareholder | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no of shares (As a % of (A+B+C2)) | Number of Voting Rights held in each class of securities | | | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|--------------------------------|--------------------|--|---|---|---|--|--|----------|-------------------------|---|---|----------------------------|-----------------------------|--|-----------------------------|---|-------------------|
| | | | | | | | | No of Voting Rights | | Total as a % of (A+B+C) | | | No. | As a % of total Shares held | No. | As a % of total Shares held | | |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | | | (X) | (XI) | (XII) | | (XIII) | (XIV) | | |
| (A) | Promoter & Promoter Group | 5 | 212490925 | 0 | 0 | 212490925 | 8.33 | 212490925 | 0 | 212490925 | 8.33 | 0 | 8.33 | 0 | 0.00 | 5500000 | 2.59 | 212490925 |
| (B) | Public | 1663707 | 2337981306 | 0 | 0 | 2337981306 | 91.67 | 2337981306 | 0 | 2337981306 | 91.67 | 0 | 91.67 | 0 | 0.00 | NA | NA | 2337958464 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | 0 | 0 | 0 | 0 | 0 | NA | 0 | 0 | 0 | 0.00 | 0 | NA | 0 | 0.00 | NA | NA | 0 |
| (C2) | Shares held by Employes Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| | Total: | 1663712 | 2550472231 | 0 | 0 | 2550472231 | 100.00 | 2550472231 | 0 | 2550472231 | 100.00 | 0 | 100.00 | 0 | 0.00 | 5500000 | 2.59 | 2550449389 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Category | Category & Name of the Shareholder | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | No of Shares Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|--|--------------------|--|---|---|-----------------------------------|---|--|----------|------------------|--|--|----------------------------|------------------------|--|-----------------------------|---|------------------|
| | | | | | | | | Class X | Class Y | Total | | | No. | As a % of total Shares | No. | As a % of total Shares held | | |
| | | | | | | | | | | | | | | | | | | |
| | (I) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) | (XIII) | (XIV) | | | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 175275000 | 0 | 0 | 175275000 | 6.87 | 175275000 | 0 | 175275000 | 6.87 | 0 | 6.87 | 0 | 0.00 | 5500000 | 3.14 | 175275000 |
| | RANA KAPOOR | 1 | 0 | 0 | 0 | 0 | | | | | | | | | | | | |
| | MADHU KAPUR | 1 | 175275000 | 0 | 0 | 175275000 | 6.87 | 175275000 | 0 | 175275000 | 6.87 | 0 | 6.87 | 0 | 0.00 | 5500000 | 3.14 | 175275000 |
| (b) | Central Government/State Government(s) | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Financial Institutions/Banks | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Any Other | 3 | 37215925 | 0 | 0 | 37215925 | 1.46 | 37215925 | 0 | 37215925 | 1.46 | 0 | 1.46 | 0 | 0.00 | 0 | 0.00 | 37215925 |
| | YES CAPITAL (INDIA) PRIVATE LIMITED | 1 | 900 | 0 | 0 | 900 | 0.00 | 900 | 0 | 900 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 900 |
| | MORGAN CREDITS PRIVATE LIMITED | 1 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | MAGS FINVEST PVT LTD | 1 | 37215025 | 0 | 0 | 37215025 | 1.46 | 37215025 | 0 | 37215025 | 1.46 | 0 | 1.46 | 0 | 0.00 | 0 | 0.00 | 37215025 |
| | Sub-Total (A)(1) | 5 | 212490925 | 0 | 0 | 212490925 | 8.33 | 212490925 | 0 | 212490925 | 8.33 | 0 | 8.33 | 0 | 0.00 | 5500000 | 2.59 | 212490925 |
| (2) | Foreign | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals/Foreign Ind | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (b) | Government | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (c) | Institutions | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (d) | Foreign Portfolio Investor | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| (e) | Any Other | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Sub-Total (A)(2) | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | 5 | 212490925 | 0 | 0 | 212490925 | 8.33 | 212490925 | 0 | 212490925 | 8.33 | 0 | 8.33 | 0 | 0.00 | 5500000 | 2.59 | 212490925 |

Table III - Statement showing shareholding pattern of the Public shareholder

| Category | Category & Name of the Shareholder | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (A+B+C2) | Number of Voting Rights held in each class of securities | | | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|--|--------------------|--|---|---|-----------------------------------|--|--|----------|-------------------|---|---|----------------------------|-----------------------------|--|-----------------------------|---|-------------------|
| | | | | | | | | Class X | Class Y | Total | | | No. | As a % of total Shares held | No. | As a % of total Shares held | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) | (XIII) | (XIV) | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | 25 | 129928861 | 0 | 0 | 129928861 | 5.09 | 129928861 | 0 | 129928861 | 5.09 | 0 | 5.09 | 0 | 0.00 | NA | NA | 129928861 |
| | HDPC TRUSTEE ALONGWITH ITS VARIOUS SCHEMES | 1 | 57801708 | 0 | 0 | 57801708 | 2.27 | 57801708 | 0 | 57801708 | 2.27 | 0 | 2.27 | 0 | 0.00 | NA | NA | 57801708 |
| (b) | Venture Capital Funds | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (c) | Alternate Investment Funds | 9 | 1583454 | 0 | 0 | 1583454 | 0.06 | 1583454 | 0 | 1583454 | 0.06 | 0 | 0.06 | 0 | 0.00 | NA | NA | 1583454 |
| (d) | Foreign Venture Capital Investors | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (e) | Foreign Portfolio Investors | 238 | 386827905 | 0 | 0 | 386827905 | 15.17 | 386827905 | 0 | 386827905 | 15.17 | 0 | 15.17 | 0 | 0.00 | NA | NA | 386827905 |
| | JWALAMUKHI INVESTMENT HOLDINGS | 1 | 41348242 | 0 | 0 | 41348242 | 1.62 | 41348242 | 0 | 41348242 | 1.62 | 0 | 1.62 | 0 | 0.00 | NA | NA | 41348242 |
| | GOVERNMENT PENSION FUND GLOBAL | 1 | 33247437 | 0 | 0 | 33247437 | 1.30 | 33247437 | 0 | 33247437 | 1.30 | 0 | 1.30 | 0 | 0.00 | NA | NA | 33247437 |
| | VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND | 1 | 30167969 | 0 | 0 | 30167969 | 1.18 | 30167969 | 0 | 30167969 | 1.18 | 0 | 1.18 | 0 | 0.00 | NA | NA | 30167969 |
| | VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI | 1 | 27620324 | 0 | 0 | 27620324 | 1.08 | 27620324 | 0 | 27620324 | 1.08 | 0 | 1.08 | 0 | 0.00 | NA | NA | 27620324 |
| (f) | Financial Institutions/Banks | 4 | 10778905 | 0 | 0 | 10778905 | 0.42 | 10778905 | 0 | 10778905 | 0.42 | 0 | 0.42 | 0 | 0.00 | NA | NA | 10778905 |
| (g) | Insurance Companies | 8 | 210144899 | 0 | 0 | 210144899 | 8.24 | 210144899 | 0 | 210144899 | 8.24 | 0 | 8.24 | 0 | 0.00 | NA | NA | 210144899 |
| | LIFE INSURANCE CORPORATION OF INDIA ALONG WITH ITS VARIOUS SCHEMES | 1 | 205530999 | 0 | 0 | 205530999 | 8.06 | 205530999 | 0 | 205530999 | 8.06 | 0 | 8.06 | 0 | 0.00 | NA | NA | 205530999 |
| (h) | Provident Funds/Pension Funds | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (i) | Any Other | | | | | | | | | | | | | | | | | |
| | QUALIFIED INSTITUTIONAL BUYER | 11 | 9982934 | 0 | 0 | 9982934 | 0.39 | 9982934 | 0 | 9982934 | 0.39 | 0 | 0.39 | 0 | 0.00 | NA | NA | 9982934 |
| | Sub Total (B)(1) | 295 | 749246958 | 0 | 0 | 749246958 | 29.38 | 749246958 | 0 | 749246958 | 29.38 | 0 | 29.38 | 0 | 0.00 | NA | NA | 749246958 |
| (2) | Central Government/State Government(s)/ President of India | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| | Sub Total (B)(2) | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (3) | Non-Institutions | | | | | | | | | | | | | | | | | |
| (a) | i. Individual shareholders holding nominal share capital up to Rs.2 lakhs | 1618325 | 1113656724 | 0 | 0 | 1113656724 | 43.66 | 1113656724 | 0 | 1113656724 | 43.66 | 0 | 43.66 | 0 | 0.00 | NA | NA | 1113635282 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs | 420 | 109647703 | 0 | 0 | 109647703 | 4.30 | 109647703 | 0 | 109647703 | 4.30 | 0 | 4.30 | 0 | 0.00 | NA | NA | 109647703 |
| (b) | NBFCs Registered with RBI | 12 | 398475 | 0 | 0 | 398475 | 0.02 | 398475 | 0 | 398475 | 0.02 | 0 | 0.02 | 0 | 0.00 | NA | NA | 398475 |
| (c) | Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (d) | Overseas Depositories (Holding DRs) (Balancing figure) | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (e) | Any Other | | | | | | | | | | | | | | | | | |
| | I E P F | 1 | 135899 | 0 | 0 | 135899 | 0.01 | 135899 | 0 | 135899 | 0.01 | 0 | 0.01 | 0 | 0.00 | NA | NA | 135899 |
| | FOREIGN NATIONALS | 5 | 17129 | 0 | 0 | 17129 | 0.00 | 17129 | 0 | 17129 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 17129 |
| | NON RESIDENT INDIAN NON REPATRIABLE | 6042 | 14508140 | 0 | 0 | 14508140 | 0.57 | 14508140 | 0 | 14508140 | 0.57 | 0 | 0.57 | 0 | 0.00 | NA | NA | 14508140 |
| | CLEARING MEMBERS | 495 | 69799536 | 0 | 0 | 69799536 | 2.74 | 69799536 | 0 | 69799536 | 2.74 | 0 | 2.74 | 0 | 0.00 | NA | NA | 69799536 |
| | NSE CLEARING LIMITED | 1 | 27387165 | 0 | 0 | 27387165 | 1.07 | 27387165 | 0 | 27387165 | 1.07 | 0 | 1.07 | 0 | 0.00 | NA | NA | 27387165 |
| | NON RESIDENT INDIANS | 14763 | 63829662 | 0 | 0 | 63829662 | 2.50 | 63829662 | 0 | 63829662 | 2.50 | 0 | 2.50 | 0 | 0.00 | NA | NA | 63829662 |
| | H U F | 19305 | 29166472 | 0 | 0 | 29166472 | 1.14 | 29166472 | 0 | 29166472 | 1.14 | 0 | 1.14 | 0 | 0.00 | NA | NA | 291650272 |
| | EMPLOYEES | 584 | 27353717 | 0 | 0 | 27353717 | 1.07 | 27353717 | 0 | 27353717 | 1.07 | 0 | 1.07 | 0 | 0.00 | NA | NA | 27353717 |
| | BODIES CORPORATES | 3428 | 152414478 | 0 | 0 | 152414478 | 5.98 | 152414478 | 0 | 152414478 | 5.98 | 0 | 5.98 | 0 | 0.00 | NA | NA | 152414478 |
| | TRUSTS | 31 | 7796413 | 0 | 0 | 7796413 | 0.31 | 7796413 | 0 | 7796413 | 0.31 | 0 | 0.31 | 0 | 0.00 | NA | NA | 7796413 |
| | DIRECTORS | 1 | 10000 | 0 | 0 | 10000 | 0.00 | 10000 | 0 | 10000 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 10000 |
| | Sub Total (B)(3) | 1663412 | 1588734348 | 0 | 0 | 1588734348 | 62.29 | 1588734348 | 0 | 1588734348 | 62.29 | 0 | 62.29 | 0 | 0.00 | | | 1588711506 |
| | Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3) | 1663707 | 2337981306 | 0 | 0 | 2337981306 | 91.67 | 2337981306 | 0 | 2337981306 | 91.67 | 0 | 91.67 | 0 | 0.00 | | | 2337958464 |

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

| Category | Category & Name of the Shareholder | PAN | No of Shareholders | No of fully paid up equity shares held | No of Partly paid-up equity shares held | No of Shares Underlying Depository Receipts | Total No of Shares Held (IV+V+VI) | Shareholding as a % of total no of shares (A+B+C2) | Number of Voting Rights held in each class of securities | | | No of Shares Underlying Outstanding convertible securities (Including Warrants) | Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) | Number of Locked in Shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form | |
|----------|---|-------|--------------------|--|---|---|-----------------------------------|--|--|----------|-------------------------|---|---|----------------------------|-----------------------------|--|-----------------------------|---|----------|
| | | | | | | | | | No of Voting Rights | | Total as a % of (A+B+C) | | | No. | As a % of total Shares held | No. | As a % of total Shares held | | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX) | | | (X) | (XI) | (XII) | | (XIII) | (XIV) | | | |
| (1) | Custodian/DR Holder | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| (2) | Employee Benefit Trust (under SEBI(Share based EmployeeBenefit) Regulations 2014) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | NA | NA | 0 |
| | Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | | | 0 |

| Details of the shareholders acting as persons in Concert including their Shareholding: | | | |
|---|-------------|--------------|----------|
| Name of Shareholder | Name of PAC | No of shares | Holding% |
| | | | |
| Total: | | 0 | 0 |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders

No of shares