

Format of Holding of Specified securities

1. Name of Listed Entity:

YES BANK LIMITED
532648, YESBANK, EQUITY
SHARES
Reg.31(1)(b)

2. Scrip Code/Name of Scrip/Class of Security:

3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)

a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2017

b. if under 31(1)(c) then indicate date of allotment/extinguishment

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES	NO
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?		NO
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES*	

* Promoter Group

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held	
								Class X	Class Y								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)	
(A)	Promoter & Promoter Group	5	460712250	0	0	460712250	20.11	460712250	0	460712250	20.11	0	0.00	16675000	3.62	460712250	
(B)	Public	249357	1829987387	0	0	1829987387	79.89	1829987387	0	1829987387	79.89	0	0.00	NA	NA	1829307985	
(C)	Non Promoter-Non Public																
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	0.00	NA	NA	0	
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	NA	NA	0	
	Total:	249362	2290699637	0	0	2290699637	100.00	2290699637	0	2290699637	100.00	0	0.00	16675000	3.62	2290020235	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total			(X)	(XI)	(XII)	(XIII)		(XIV)
(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	2	275625000	0	0	275625000	12.03	275625000	0	275625000	12.03	0	12.03	0	0.00	16675000	6.05	275625000
	RANA KAPOOR	1	100000000	0	0	100000000	4.37	100000000	0	100000000	4.37	0	4.37	0	0.00	0	0.00	100000000
	MADHU KAPUR	1	175625000	0	0	175625000	7.67	175625000	0	175625000	7.67	0	7.67	0	0.00	16675000	9.49	175625000
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	3	185087250	0	0	185087250	8.08	185087250	0	185087250	8.08	0	8.08	0	0.00	0	0.00	185087250
	YES CAPITAL (INDIA) PRIVATE LIMITED	1	75625000	0	0	75625000	3.30	75625000	0	75625000	3.30	0	3.30	0	0.00	0	0.00	75625000
	MORGAN CREDITS PRIVATE LIMITED	1	70250000	0	0	70250000	3.07	70250000	0	70250000	3.07	0	3.07	0	0.00	0	0.00	70250000
	MAGS FINVEST PRIVATE LTD	1	39212250	0	0	39212250	1.71	39212250	0	39212250	1.71	0	1.71	0	0.00	0	0.00	39212250
	Sub-Total (A)(1)	5	460712250	0	0	460712250	20.11	460712250	0	460712250	20.11	0	20.11	0	0.00	16675000	3.62	460712250
(2)	Foreign																	
	Individuals (Non-Resident Individuals/Foreign																	
(a)	Individuals	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	460712250	0	0	460712250	20.11	460712250	0	460712250	20.11	0	20.11	0	0.00	16675000	3.62	460712250

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								Class X	Class Y	Total			No.	As a % of total Shares held	No.	As a % of total Shares held		
																		Total
(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)						
(1)	Institutions																	
(a)	Mutual Funds	241	252384331	0	0	252384331	11.02	252384331	0	252384331	11.02	0	11.02	0	0.00	NA	NA	252384331
	DSP BLACKROCK MIP FUND ALONG WITH ITS VARIOUS SCHEMES	12	29003807	0	0	29003807	1.27	29003807	0	29003807	1.27	0	1.27	0	0.00	NA	NA	29003807
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED ALONGWITH ITS VARIOUS SCHEMES	32	48588466	0	0	48588466	2.12	48588466	0	48588466	2.12	0	2.12	0	0.00	NA	NA	48588466
	FRANKLIN TEMPELTON MUTUAL FUND ALONGWITH ITS VARIOUS SCHEMES	14	47289911	0	0	47289911	2.06	47289911	0	47289911	2.06	0	2.06	0	0.00	NA	NA	47289911
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	6	2150562	0	0	2150562	0.09	2150562	0	2150562	0.09	0	0.09	0	0.00	NA	NA	2150562
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	855	1042573315	0	0	1042573315	45.51	1042573315	0	1042573315	45.51	0	45.51	0	0.00	NA	NA	1042573315
	NOMURA INDIA INVESTMENT FUND MOTHER FUND	1	40658950	0	0	40658950	1.77	40658950	0	40658950	1.77	0	1.77	0	0.00	NA	NA	40658950
	ABU DHABI INVESTMENT AUTHORITY UNDER ITS VARIOUS ACCOUNTS	7	23329086	0	0	23329086	1.02	23329086	0	23329086	1.02	0	1.02	0	0.00	NA	NA	23329086
	VANGUARD EMERGING MARKETS STOCK INDEX FUND, ASERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUND	1	25084350	0	0	25084350	1.10	25084350	0	25084350	1.10	0	1.10	0	0.00	NA	NA	25084350
	FRANKLIN TEMPLETON INVESTMENT FUNDS	1	45631005	0	0	45631005	1.99	45631005	0	45631005	1.99	0	1.99	0	0.00	NA	NA	45631005
(f)	Financial Institutions/Banks	15	1095067	0	0	1095067	0.05	1095067	0	1095067	0.05	0	0.05	0	0.00	NA	NA	1095067
(g)	Insurance Companies	112	307110327	0	0	307110327	13.41	307110327	0	307110327	13.41	0	13.41	0	0.00	NA	NA	307110327
	LIFE INSURANCE CORPORATION OF INDIA ALONGWITH ITS VARIOUS SCHEMES	16	190214730	0	0	190214730	8.30	190214730	0	190214730	8.30	0	8.30	0	0.00	NA	NA	190214730
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Sub Total (B)(1)	1229	1605313602	0	0	1605313602	70.08	1605313602	0	1605313602	70.08	0	70.08	0	0.00	NA	NA	1605313602
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	236339	130556243	0	0	130556243	5.70	130556243	0	130556243	5.70	0	5.70	0	0.00	NA	NA	129991841
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	151	51312685	0	0	51312685	2.24	51312685	0	51312685	2.24	0	2.24	0	0.00	NA	NA	51197685
(b)	NBFCs Registered with RBI	9	30615	0	0	30615	0.00	30615	0	30615	0.00	0	0.00	0	0.00	NA	NA	30615
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	FOREIGN NATIONALS	2	3815	0	0	3815	0.00	3815	0	3815	0.00	0	0.00	0	0.00	NA	NA	3815
	BODIES CORPORATES	2014	15573257	0	0	15573257	0.68	15573257	0	15573257	0.68	0	0.68	0	0.00	NA	NA	15573257
	NON RESIDENT INDIAN NON REPATRIABLE	1778	3489833	0	0	3489833	0.15	3489833	0	3489833	0.15	0	0.15	0	0.00	NA	NA	3489833
	CLEARING MEMBERS	310	6574377	0	0	6574377	0.29	6574377	0	6574377	0.29	0	0.29	0	0.00	NA	NA	6574377
	H U F	3290	4618688	0	0	4618688	0.20	4618688	0	4618688	0.20	0	0.20	0	0.00	NA	NA	4618688
	NON RESIDENT INDIANS	4197	6760831	0	0	6760831	0.30	6760831	0	6760831	0.30	0	0.30	0	0.00	NA	NA	6760831
	TRUSTS	38	5753441	0	0	5753441	0.25	5753441	0	5753441	0.25	0	0.25	0	0.00	NA	NA	5753441
	Sub Total (B)(3)	248128	224673785	0	0	224673785	9.81	224673785	0	224673785	9.81	0	9.81	0	0.00	0.00	NA	223994383
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	249357	1829987387	0	0	1829987387	79.89	1829987387	0	1829987387	79.89	0	79.89	0	0.00	0.00	NA	1829307985

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total (X)								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter-Non Public Shareholding (C) = (C1)+(C)2		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PA	No of shares	Holding%
		0	0
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account	
No of Shareholders	No of shares
36	27000