

Format of Holding of Specified securities

1. Name of Listed Entity:
2. Scrip Code/Name of Scrip/Class of Security:
3. Share Holding Pattern Filed under:
 - a. if under 31(1)(b) then indicate the report for quarter ending 30/09/2016
 - b. if under 31(1)(c) then indicate date of allotment/extinguishment
4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

YES BANK LIMITED
532648,YESBANK,EQUITY SHL
Reg.31(1)(b)

	Particulars	YES*	NO
a	Whether the Listed Entity has issued any partly paid up shares		NO
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
c	Whether the Listed Entity has any shares against which depository receipts are issued?		NO
d	Whether the Listed Entity has any shares in locked-in?		NO
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES*	

*Promoter Group

- 5 The tabular format for disclosure of holding of specified securities is as follows:

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total	No.	As a % of total		
								Class X	Class Y	Total (IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	5	92142450	0	0	92142450	21.85	92142450	0	92142450	21.85	0	21.85	0	0.00	3335000	3.62	92142450
(B)	Public	194463	329516763	0	0	329516763	78.15	329516763	0	329516763	78.15	0	78.15	0	0.00	NA	NA	329180264
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employes Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total:	194468	421659213	0	0	421659213	100.00	421659213	0	421659213	100.00	0	100.00	0	0.00	3335000	3.62	421322714

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)					
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	2	55125000	0	0	55125000	13.07	55125000	0	55125000	13.07	0	0.00	3335000	6.05	55125000		
	RANA KAPOOR	1	20000000	0	0	20000000	4.74	20000000	0	20000000	4.74	0	0.00	0	0.00	20000000		
	MADHU KAPUR	1	35125000	0	0	35125000	8.33	35125000	0	35125000	8.33	0	0.00	3335000	9.49	35125000		
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(d)	Any Other	3	37017450	0	0	37017450	8.78	37017450	0	37017450	8.78	0	0.00	0	0.00	37017450		
	YES CAPITAL (INDIA) PRIVATE LIMITED	1	15125000	0	0	15125000	3.59	15125000	0	15125000	3.59	0	0.00	0	0.00	15125000		
	MORGAN CREDITS PRIVATE LIMITED	1	14050000	0	0	14050000	3.33	14050000	0	14050000	3.33	0	0.00	0	0.00	14050000		
	MAGS FINVEST PVT LTD	1	7842450	0	0	7842450	1.86	7842450	0	7842450	1.86	0	0.00	0	0.00	7842450		
	Sub-Total (A)(1)	5	92142450	0	0	92142450	21.85	92142450	0	92142450	21.85	0	0.00	3335000	3.62	92142450		
(3)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	92142450	0	0	92142450	21.85	92142450	0	92142450	21.85	0	0.00	3335000	3.62	92142450		

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	244	43827343	0	0	43827343	10.39	43827343	0	43827343	10.39	0	10.39	0	0.00	NA	NA	43827343
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED ALONG WITH ITS VARIOUS SCHEMES	32	6292458	0	0	6292458	1.49	6292458	0	6292458	1.49	0	1.49	0	0.00	NA	NA	6292458
	RELIANCE CAPITAL TRUSTEE COMPANY LIMITED A/C RELIANCE GROWTH FUND ALONG WITH ITS VARIOUS SCHEMES	16	4807620	0	0	4807620	1.14	4807620	0	4807620	1.14	0	1.14	0	0.00	NA	NA	4807620
	FRANKLIN INDIA MONTHLY INCOME PLAN ALONG WITH ITS VARIOUS SCHEMES	12	9545397	0	0	9545397	2.26	9545397	0	9545397	2.26	0	2.26	0	0.00	NA	NA	9545397
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	705	179739387	0	0	179739387	42.63	179739387	0	179739387	42.63	0	42.63	0	0.00	NA	NA	179739387
	NOMURA INDIA INVESTMENT FUND MOTHER FUND	1	5160530	0	0	5160530	1.22	5160530	0	5160530	1.22	0	1.22	0	0.00	NA	NA	5160530
	ABU DHABI INVESTMENT AUTHORITY UNDER ITS VARIOUS ACCOUNTS	5	5946061	0	0	5946061	1.41	5946061	0	5946061	1.41	0	1.41	0	0.00	NA	NA	5946061
	GOVERNMENT OF SINGAPORE	2	5065691	0	0	5065691	1.20	5065691	0	5065691	1.20	0	1.20	0	0.00	NA	NA	5065691
	FRANKLIN TEMPLETON INVESTMENT FUNDS	1	9035515	0	0	9035515	2.14	9035515	0	9035515	2.14	0	2.14	0	0.00	NA	NA	9035515
(f)	Financial Institutions/Banks	19	669769	0	0	669769	0.16	669769	0	669769	0.16	0	0.16	0	0.00	NA	NA	669769
(g)	Insurance Companies	36	53070912	0	0	53070912	12.59	53070912	0	53070912	12.59	0	12.59	0	0.00	NA	NA	53070912
	LIFE INSURANCE CORPORATION OF INDIA ALONG WITH ITS VARIOUS SCHEMES	16	38042946	0	0	38042946	9.02	38042946	0	38042946	9.02	0	9.02	0	0.00	NA	NA	38042946
	BAJAJ ALLIANZ LIFE INSURANCE COMPANY LTD.	1	5188972	0	0	5188972	1.23	5188972	0	5188972	1.23	0	1.23	0	0.00	NA	NA	5188972
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Sub Total (B)(1)	1004	277307411	0	0	277307411	65.77	277307411	0	277307411	65.77	0	65.77	0	0.00	NA	NA	277307411
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs.2 lakhs	183300	24668548	0	0	24668548	5.85	24668548	0	24668548	5.85	0	5.85	0	0.00	NA	NA	24552049
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	128	9979885	0	0	9979885	2.37	9979885	0	9979885	2.37	0	2.37	0	0.00	NA	NA	9759885
(b)	NBFCs Registered with RBI	12	216942	0	0	216942	0.05	216942	0	216942	0.05	0	0.05	0	0.00	NA	NA	216942
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	TRUSTS	43	560148	0	0	560148	0.13	560148	0	560148	0.13	0	0.13	0	0.00	NA	NA	560148
	NON RESIDENT INDIANS	3687	1407002	0	0	1407002	0.33	1407002	0	1407002	0.33	0	0.33	0	0.00	NA	NA	1407002
	CLEARING MEMBERS	498	3451381	0	0	3451381	0.82	3451381	0	3451381	0.82	0	0.82	0	0.00	NA	NA	3451381
	NON RESIDENT INDIAN NON REPATRIABLE	1250	584789	0	0	584789	0.14	584789	0	584789	0.14	0	0.14	0	0.00	NA	NA	584789
	BODIES CORPORATES	1760	10214940	0	0	10214940	2.42	10214940	0	10214940	2.42	0	2.42	0	0.00	NA	NA	10214940
	HDFC STANDARD LIFE INSURANCE COMPANY LIMITED	2	4398665	0	0	4398665	1.04	4398665	0	4398665	1.04	0	1.04	0	0.00	NA	NA	4398665
	H U F	2778	1125046	0	0	1125046	0.27	1125046	0	1125046	0.27	0	0.27	0	0.00	NA	NA	1125046
	FOREIGN NATIONALS	3	671	0	0	671	0.00	671	0	671	0.00	0	0.00	0	0.00	NA	NA	671
	Sub Total (B)(3)	193459	52209352	0	0	52209352	12.38	52209352	0	52209352	12.38	0	12.38	0	0.00	NA	NA	51872853
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	194463	329516763	0	0	329516763	78.15	329516763	0	329516763	78.15	0	78.15	0	0.00			329180264

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights	Total as a % of (A+B+C)				No.	As a % of total Shares held	No.	As a % of total Shares held		
									Class X	Class Y	Total								
									(IX)			(X)	(XI)	(XII)		(XIII)		(XIV)	
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)	(XIII)	(XIV)	(XV)	(XVI)	(XVII)	(XVIII)	(XIX)	
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Details of the shareholders acting as persons in Concert including their

Name of Shareholder	Name of PAC	No of shares	Holding%
		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account

No of Shareholders	No of shares
36	5400