

Format of Holding of Specified securities

Name of Listed Entity:

YES BANK LIMITED

1. Scrip Code/Name of Scrip/Class of Security:

EQUITY SHARES

2. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg.31(1)(b)/Reg.31(1)(c)

Reg.31(1)(b)

3. a. if under 31(1)(b) then indicate the report for quarter ending 30/06/2018

b. if under 31(1)(c) then indicate date of allotment/ extinguishment

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

4. Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information:

	Particulars	YES*	NO*
a	Whether the Listed Entity has issued any partly paid up shares	-	No
b	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
c	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
d	Whether the Listed Entity has any shares in locked-in?	-	No
e	Whether any shares held by promoters are pledge or otherwise encumbered?	YES*	

* Promoter Group

Table I - Summary Statement holding of specified securities

Category	Category of Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no of shares (As a % of (A+B+C2))	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares	No.		As a % of total Shares
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	5	460712250	0	0	460712250	19.98	460712250	0	460712250	19.98	0	19.98	0	0.00	16675000	3.62	460712250
(B)	Public	393076	1845000845	0	0	1845000845	80.02	1845000845	0	1845000845	80.02	0	80.02	0	0.00	NA	NA	1844892690
(C)	Non Promoter-Non Public																	
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0.00	0	NA	0	0.00	NA	NA	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total:		393081	2305713095	0	0	2305713095	100.00	2305713095	0	2305713095	100.00	0	100.00	0	0.00	16675000	3.62	2305604940

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital) (VII)+(X) As a % of (A+B+C2)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Indian																	
(a)	Individuals/Hindu undivided Family	2	275625000	0	0	275625000	11.95	275625000	0	275625000	11.95	0	11.95	0	0.00	16675000	6.05	275625000
	RANA KAPOOR	1	100000000	0	0	100000000	4.34	100000000	0	100000000	4.34	0	4.34	0	0.00	0	0.00	100000000
	MADHU KAPUR	1	175625000	0	0	175625000	7.62	175625000	0	175625000	7.62	0	7.62	0	0.00	16675000	9.49	175625000
(b)	Central Government/State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions/Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other	3	185087250	0	0	185087250	8.03	185087250	0	185087250	8.03	0	8.03	0	0.00	0	0.00	185087250
	YES CAPITAL (INDIA) PRIVATE LIMITED	1	75625000	0	0	75625000	3.28	75625000	0	75625000	3.28	0	3.28	0	0.00	0	0.00	75625000
	MORGAN CREDITS PRIVATE LIMITED	1	70250000	0	0	70250000	3.05	70250000	0	70250000	3.05	0	3.05	0	0.00	0	0.00	70250000
	MAGS FINVEST PVT LTD	1	39212250	0	0	39212250	1.70	39212250	0	39212250	1.70	0	1.70	0	0.00	0	0.00	39212250
	Sub-Total (A)(1)	5	460712250	0	0	460712250	19.98	460712250	0	460712250	19.98	0	19.98	0	0.00	16675000	3.62	460712250
(2)	Foreign																	
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	5	460712250	0	0	460712250	19.98	460712250	0	460712250	19.98	0	19.98	0	0.00	16675000	3.62	460712250

Table III - Statement showing shareholding pattern of the Public shareholder

Category	Category & Name of the Shareholder	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights		Total as a % of (A+B+C)			No.	As a % of total Shares held	No.	As a % of total Shares held		
								Class X	Class Y									Total
	(I)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)			(X)	(XI)	(XII)		(XIII)	(XIV)		
(1)	Institutions																	
(a)	Mutual Funds	33	266435495	0	0	266435495	11.56	266435495	0	266435495	11.56	0	11.56	0	0.00	NA	NA	266435495
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED ALONGWITH ITS VARIOUS SCHEMES	1	55183036	0	0	55183036	2.39	55183036	0	55183036	2.39	0	2.39	0	0.00	NA	NA	55183036
	FRANKLIN TEMPELTON MUTUAL FUND ALONGWITH ITS VARIOUS SCHEMES	1	39401964	0	0	39401964	1.71	39401964	0	39401964	1.71	0	1.71	0	0.00	NA	NA	39401964
	UTI ALONGWITH ITS VARIOUS SCHEMES	1	27996103	0	0	27996103	1.21	27996103	0	27996103	1.21	0	1.21	0	0.00	NA	NA	27996103
	SBI ALONGWITH ITS VARIOUS SCHEMES	1	30248537	0	0	30248537	1.31	30248537	0	30248537	1.31	0	1.31	0	0.00	NA	NA	30248537
(b)	Venture Capital Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds	8	4007970	0	0	4007970	0.17	4007970	0	4007970	0.17	0	0.17	0	0.00	NA	NA	4007970
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors	812	980288731	0	0	980288731	42.52	980288731	0	980288731	42.52	0	42.52	0	0.00	NA	NA	980288731
	T. ROWE PRICE EMERGING MARKETS STOCK FUND	1	24784101	0	0	24784101	1.07	24784101	0	24784101	1.07	0	1.07	0	0.00	NA	NA	24784101
	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	1	27078719	0	0	27078719	1.17	27078719	0	27078719	1.17	0	1.17	0	0.00	NA	NA	27078719
	FRANKLIN TEMPLETON INVESTMENT FUNDS	1	30481813	0	0	30481813	1.32	30481813	0	30481813	1.32	0	1.32	0	0.00	NA	NA	30481813
	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	1	23749248	0	0	23749248	1.03	23749248	0	23749248	1.03	0	1.03	0	0.00	NA	NA	23749248
(f)	Financial Institutions/Banks	16	5612837	0	0	5612837	0.24	5612837	0	5612837	0.24	0	0.24	0	0.00	NA	NA	5612837
(g)	Insurance Companies	17	304030208	0	0	304030208	13.19	304030208	0	304030208	13.19	0	13.19	0	0.00	NA	NA	304030208
	ICICI PRUDENTIAL LIFE INSURANCE COMPANY LTD	1	47852905	0	0	47852905	2.08	47852905	0	47852905	2.08	0	2.08	0	0.00	NA	NA	47852905
	LIFE INSURANCE CORPORATION OF INDIA	1	191116877	0	0	191116877	8.29	191116877	0	191116877	8.29	0	8.29	0	0.00	NA	NA	191116877
(h)	Provident Funds/Pension Funds	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other																	
	Sub Total (B)(1)	886	1560375241	0	0	1560375241	67.67	1560375241	0	1560375241	67.67	0	67.67	0	0.00	NA	NA	1560375241
(2)	Central Government/State Government(s)/President of India	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Sub Total (B)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(3)	Non-Institutions																	
(a)	i.Individual shareholders holding nominal share capital up to Rs.2 lakhs	376390	144662463	0	0	144662463	6.27	144662463	0	144662463	6.27	0	6.27	0	0.00	NA	NA	144554308
	ii.Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	166	58925316	0	0	58925316	2.56	58925316	0	58925316	2.56	0	2.56	0	0.00	NA	NA	58925316
(b)	NBFCs Registered with RBI	16	35639	0	0	35639	0.00	35639	0	35639	0.00	0	0.00	0	0.00	NA	NA	35639
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (Holding DRs)(Balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other																	
	CLEARING MEMBERS	296	3785958	0	0	3785958	0.16	3785958	0	3785958	0.16	0	0.16	0	0.00	NA	NA	3785958
	FOREIGN NATIONALS	1	3323	0	0	3323	0.00	3323	0	3323	0.00	0	0.00	0	0.00	NA	NA	3323
	H U F	4861	4937509	0	0	4937509	0.21	4937509	0	4937509	0.21	0	0.21	0	0.00	NA	NA	4937509
	I E P F	1	63054	0	0	63054	0.00	63054	0	63054	0.00	0	0.00	0	0.00	NA	NA	63054
	BODIES CORPORATES	1712	51964657	0	0	51964657	2.25	51964657	0	51964657	2.25	0	2.25	0	0.00	NA	NA	51964657
	NON RESIDENT INDIANS	6140	7721516	0	0	7721516	0.33	7721516	0	7721516	0.33	0	0.33	0	0.00	NA	NA	7721516
	NON RESIDENT INDIAN NON REPATRIABLE	2580	4055572	0	0	4055572	0.18	4055572	0	4055572	0.18	0	0.18	0	0.00	NA	NA	4055572
	TRUSTS	27	8470597	0	0	8470597	0.37	8470597	0	8470597	0.37	0	0.37	0	0.00	NA	NA	8470597
	Sub Total (B)(3)	392190	284625604	0	0	284625604	12.34	284625604	0	284625604	12.34	0	12.34	0	0.00			284517449
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	393076	1845000845	0	0	1845000845	80.02	1845000845	0	1845000845	80.02	0	80.02	0	0.00			1844892690

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public Shareholder

Category	Category & Name of the Shareholder	PAN	No of Shareholders	No of fully paid up equity shares held	No of Partly paid-up equity shares held	No of Shares Underlying Depository Receipts	Total No of Shares Held (IV+V+VI)	Shareholding as a % of total no of shares (A+B+C2)	Number of Voting Rights held in each class of securities			No of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible Securities (as a percentage of diluted share capital)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of (A+B+C)			No.		As a % of total Shares held
(I)		(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	Class X	Class Y	Total (IX)	(X)	(XI)	(XII)	(XIII)	(XIV)			(XIV)
(1)	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Employee Benefit Trust (under SEBI(Share based Employee Benefit) Regulations 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Total Non-Promoter-Non Public Shareholding (C) = (C)(1)+(C)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00			0

Details of the shareholders acting as persons in Concert including their Shareholding:			
Name of Shareholder	Name of PAC	No of shares	Holding%
Total:		0	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account		
No of Shareholders	No of shares	