



# CUSTOMER ON-BOARDING FORM

(For Non-Individual Entities)



Barcode

(Fields marked as \*\* are Mandatory)

## CONTACT DETAILS (Primary Authorized Signatory/ Contact Person)\*:

	Prefix	First Name		
Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Middle Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Last Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
Mobile*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Country Code	Number		
Phone*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Country Code	STD Code	Number	
Email ID (To be filled in CAPITAL BLOCK LETTERS only)	<input type="text"/>			
	<input type="text"/>			

## CUSTOMER CATEGORY (Customer Type/ Nature of Industry)\*

### CUSTOMER TYPE:

#### Section I

#### Constitution\*

<input type="radio"/> Sole Proprietorship	<input type="radio"/> Partnership Firm	<input type="radio"/> Limited Liability Partnership	<input type="radio"/> HUF
<input type="radio"/> Private Ltd. Company	<input type="radio"/> Public Ltd. Company#	<input type="radio"/> One Person Company (OPC)	
<input type="radio"/> Sec 25 / Sec 8 Companies ( <u>Move to Section II D</u> )	<input type="radio"/> Statutory Corporation (Formed under the act of Parliament/Assembly)		
<input type="radio"/> Trust ( <u>Move to Section II D</u> )	<input type="radio"/> Society ( <u>Move to Section II D</u> )	<input type="radio"/> Association	

#In case of Public Ltd company, confirm whether Public Sector Undertaking –  Yes  No

Please confirm if the entity is any one of the following: (If **Not applicable**, Please move to Section III)

- |  |                             |
|--|-----------------------------|
| <input type="radio"/> Financial Institutions ( <i>includes Foreign Banks</i> ) → | <u>Move to Section II A</u> |
| <input type="radio"/> Government Departments →                                   | <u>Move to Section II B</u> |
| <input type="radio"/> Foreign Entities/Bodies ( <i>except Foreign Banks</i> ) →  | <u>Move to Section II C</u> |
| <input type="radio"/> Co-operative Credit Societies →                            | <u>Move to Section II D</u> |

#### Section II

#### A. Financial Institutions including Foreign Banks (*select any one*)

- |   |   |  |   |
|---|---|--|---|
| <input type="radio"/> Indian Commercial Banks   | <input type="radio"/> Co-operative Banks          | <input type="radio"/> Foreign Banks                                    | <input type="radio"/> Mutual Fund companies |
| <input type="radio"/> Term Lending Institutions | <input type="radio"/> Provident Fund Institutions | <input type="radio"/> Unit Trust of India                              | <input type="radio"/> NBFC                  |
| <input type="radio"/> Exchange Houses           | <input type="radio"/> LIC                         | <input type="radio"/> Insurance Corporation/companies (other than LIC) |   |
| <input type="radio"/> Others (pls specify)      | <input type="text"/>                              |  |   |

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING &amp; DUE DILIGENCE

#### B. Government Departments (*select any one*)

- |  |   |  |  |
|--|---|--|--|
| <input type="radio"/> Central Government         | <input type="radio"/> State Government      | <input type="radio"/> State Electricity Board        | <input type="radio"/> Defence Establishments                   |
| <input type="radio"/> Government Owned Companies | <input type="radio"/> Government Department | <input type="radio"/> Statutory Corporation / Bodies |  |
| <input type="radio"/> Local Authorities          | <input type="radio"/> Regulators            | <input type="radio"/> Quasi Government Bodies        | <input type="radio"/> Non-Departmental Commercial Undertakings |

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING &amp; DUE DILIGENCE

#### C. Foreign Entities/bodies, other than Foreign Banks (*select any one*)

- |  |  |  |                                      |  |
|--|--|--|--------------------------------------|--|
| <input type="radio"/> Foreign Government | <input type="radio"/> Branch Office                              | <input type="radio"/> Consulates/Embassies | <input type="radio"/> Project Office | <input type="radio"/> Offshore Corporation |
| <input type="radio"/> Liaison Office     | Other Foreign Entities/Bodies (pls specify) <input type="text"/> |  |                                      |  |

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING &amp; DUE DILIGENCE

#### D. Trust/Societies (*select any one*)

- |  |   |   |  |
|--|---|---|--|
| <input type="radio"/> Public Charitable Trust                      | <input type="radio"/> Joint Liability Groups    | <input type="radio"/> Religious Institutions/Trust      | <input type="radio"/> Self-Help group                |
| <input type="radio"/> Private/Family/Trust by will                 | <input type="radio"/> NGO/Welfare Organizations | <input type="radio"/> Credit Co-operative Society       | <input type="radio"/> Educational Institutions/Trust |
| <input type="radio"/> Housing Societies                            | <input type="radio"/> Super Annuation Trust     | <input type="radio"/> Non-credit co-operative societies |  |
| <input type="radio"/> Provident Fund/Gratuity/Group Gratuity Trust | <input type="radio"/> Benevolent Fund           | <input type="radio"/> Credit /welfare society           |  |

**Mandatory:** Is the Trust/Society/Section 8 Company also a Non Profit Organization  Yes  No

End of CUSTOMER CATEGORY (Customer type/Nature of Industry); Move to CUSTOMER PROFILING &amp; DUE DILIGENCE

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**Section III****Nature of Business\*** (Non Mandatory if Section II is filled)

<input type="radio"/> Retail Shops	<input type="radio"/> Retail Trading	<input type="radio"/> Wholesale/Distributor/C&F Agency	<input type="radio"/> Manufacturing	<input type="radio"/> Services
<input type="radio"/> Job work	<input type="radio"/> Commission Agency	<input type="radio"/> Contractual Work	<input type="radio"/> Broking	

**Nature of Industry\*:** (Non Mandatory if Section II is filled)

A			
<input type="radio"/> Agri Commodities	<input type="radio"/> Arms/Antique/Art Dealer/Explosives	<input type="radio"/> Auto Ancillaries	
<input type="radio"/> Auto Dealers (New vehicles / motor-cycles)	<input type="radio"/> Automobiles	<input type="radio"/> Airlines/ Airports	
<input type="radio"/> Auction House	<input type="radio"/> Advertising	<input type="radio"/> Architecture firm	<input type="radio"/> Auto Mechanics
<input type="radio"/> Attorneys (Immigration)	<input type="radio"/> Auto Finance Companies	<input type="radio"/> Artificial Jewellery	
B			
<input type="radio"/> Bakery/Confectionery	<input type="radio"/> Casino/Night Club/Betting	<input type="radio"/> Boat/Plane Dealership/Brokers	
<input type="radio"/> Book/Stationery Store	<input type="radio"/> Bullion Dealer	<input type="radio"/> BPO	<input type="radio"/> Bitcoin/Virtual Currencies
<input type="radio"/> Beauty Parlour			
C			
<input type="radio"/> Cement/Paints	<input type="radio"/> Chemical/Dyes	<input type="radio"/> CA/Audit firm	<input type="radio"/> C & F agents
<input type="radio"/> Catering	<input type="radio"/> Call Centre	<input type="radio"/> Consultancy firm	<input type="radio"/> Consumer Durables
<input type="radio"/> Construction	<input type="radio"/> Commodity Broker	<input type="radio"/> Chit Funds	<input type="radio"/> Chemist
<input type="radio"/> Cost Accountant Firm	<input type="radio"/> CS Firm		
D			
<input type="radio"/> Dairy/Poultry	<input type="radio"/> Departmental/Grocery Store	<input type="radio"/> Diagnostic/Research Centre	<input type="radio"/> DTP Operators
E			
<input type="radio"/> Electronics & Equipment's	<input type="radio"/> Electrical Components/Items	<input type="radio"/> Engineering goods	<input type="radio"/> Event Management
<input type="radio"/> Education	<input type="radio"/> Edible Oils	<input type="radio"/> Engineering, Procurement & Construction	
<input type="radio"/> Estate Agents			
F			
<input type="radio"/> Fisheries	<input type="radio"/> Food & Beverages	<input type="radio"/> FMCG	<input type="radio"/> Forex Dealer
<input type="radio"/> Furniture/Timber	<input type="radio"/> Fertilizers/Seeds/Pesticides	<input type="radio"/> Facilities Management	<input type="radio"/> Facilities Maintenance
<input type="radio"/> Fast Food	<input type="radio"/> Film Production/Distribution House	<input type="radio"/> Footwear	<input type="radio"/> Fast Food
<input type="radio"/> Gems & Jewellery	<input type="radio"/> Guest house/Service Apt	<input type="radio"/> Garment Store	<input type="radio"/> Gambling/Gaming Business
<input type="radio"/> Garage Services			
H			
<input type="radio"/> Hospitality	<input type="radio"/> Hospitals/ Healthcare	<input type="radio"/> Health Clubs/Gymnasium	<input type="radio"/> Housing Finance
<input type="radio"/> Hotels/Resorts	<input type="radio"/> Handicrafts	<input type="radio"/> Housing Finance Companies	<input type="radio"/> Hardware
I			
<input type="radio"/> IT Consulting	<input type="radio"/> Iron & Steel	<input type="radio"/> IT Service Provider	<input type="radio"/> IT Hardware
<input type="radio"/> Internet Cafe	<input type="radio"/> Internet Service Provider	<input type="radio"/> Investment Management (Personal)	
<input type="radio"/> Import/Export (Not used for own Manufacturing and Retailing)			
L			
<input type="radio"/> Labour Supply	<input type="radio"/> Logistics	<input type="radio"/> Luxury & Lifestyle	<input type="radio"/> Life science
<input type="radio"/> Lottery	<input type="radio"/> Law firm	<input type="radio"/> Liquor/Standlone Wine Shop (Excluding Licensed Bar)	
M			
<input type="radio"/> Media/Entertainment	<input type="radio"/> Money changer	<input type="radio"/> Money lenders	<input type="radio"/> Multi level Marketing
<input type="radio"/> Marble/Granite	<input type="radio"/> Money Transfer/Agents	<input type="radio"/> Mining/Metal & Metal Products	<input type="radio"/> Movie Theatres
<input type="radio"/> Manpower Supply	<input type="radio"/> Meat	<input type="radio"/> Money Management	





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**II. Type of the provided address (shade as applicable)**

- Residential       Business       Registered office

**Section B: Mandatory questions (Applicable to all entities)**

Sr no	Particulars	Shade where applicable
1	Is the entity tax resident in any country/countries outside India	<input type="radio"/> Yes <input type="radio"/> No
2	Is the entity incorporated in the United States? (including a Trust, if the trustee is a US citizen or resident)	<input type="radio"/> Yes <input type="radio"/> No

**If answers of any one of the question is 'Yes' please fill up FATCA Annexure additionally**

**SECTION C : Response to all particulars/questions is mandatory (Applicable to all entities)**

Note: If answer of any one question (between I, II & III) is 'Yes' proceed to Section E.

<p><b>Question I:</b> Is company a publicly traded company?</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p> <p>..... If 'Yes' selected, please enter the name of the stock exchange where it is listed:</p> <table border="1" style="width: 100%; height: 40px;"> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table>																																																															<p><b>Question II:</b> Is the captioned entity a related entity of a publicly traded company?</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p> <p>..... If 'Yes' selected, please confirm:</p> <p>a. The name of stock exchange:</p> <table border="1" style="width: 100%; height: 40px;"> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </table> <p>b. Nature of Relation: (Shade any one)</p> <p><input type="radio"/> Subsidiary of listed company</p> <p><input type="radio"/> Controlled by a listed company</p> <p><input type="radio"/> Common Control as listed entity</p>																																																																		<p><b>Question III:</b> Is the entity a Government entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the mentioned entity types in question I and II ?</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p>

**Section D: Applicable for Financial Institution (FI) or entities confirming 'No' in each of the parameters in Section C (Response to all the questions are mandatory )**

<p><b>Question I:</b> Is the entity a Financial Institution (FI)?</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p> <p>..... If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up. Not required to answer Question II and III.</p>	<p><b>Question II:</b> Is the entity a passive NFE<sup>F</sup>?</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p> <p>..... If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up</p> <p>If answer is 'No', please specify sub-category of active NFE- (Mention Code by referring instructions)</p> <div style="text-align: center; margin: 10px 0;"> <input type="checkbox"/> </div> <p><b>No need to answer question III.</b></p>	<p><b>Question III:</b> Does the entity have any ultimate beneficial owners<sup>A</sup> (incl. controlling persons) who are tax residents (incl. U.S citizens/green card holders) of countries other than India</p> <p><input type="radio"/> Yes      <input type="radio"/> No</p> <p>..... If 'Yes' selected, Separate FATCA/CRS Annexure to be filled up</p>
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**Section E: Declaration of Authorized signatory for entities other than Sole Proprietorship (shade appropriately)**

I have understood the information requirements of this Form (read along with the FATCA-CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct, and complete. In case of any changes, I will inform the Bank within 30 days.

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**TERMS & CONDITIONS**

I/We, the undersigned, being prospective/existing customer of YES BANK LTD. (hereinafter referred to as "Bank") hereby confirm that I/We have read, understood and agree to abide by and be bound by all the provisions of the terms and conditions as displayed on the website [www.yesbank.in](http://www.yesbank.in) which governs all of my/our accounts, for present and future, maintained/opened/to be maintained/to be opened with the Bank from time to time and also by the provisions of various services/facilities which are availed/utilized at present or may be availed/utilized in future as & when required.

I/We hereby authorize the Bank to debit any of our account with any amount due and payable by us to the Bank in relation to costs, charges, expenses, debts due under any /all facilities/services granted by the Bank and/or to combine or consolidate and set off or appropriate the balance in any of our account with the amounts due to the Bank.

I/We understand that YES BANK shall have the absolute discretion to amend or supplement any of the Terms and Conditions at any time relating to the Account and/or the Services provided for/in connection with the same. YES BANK may communicate the amended Terms and Conditions by hosting the same on the website [www.yesbank.in](http://www.yesbank.in) or in any other manner as per regulatory guidelines

I/We hereby further confirm having read and understood the applicable regulations/guidelines as framed by RBI including FEMA regulations 2000, governing FCY Accounts and the FEMA Act 1999 and agree to abide/be bound by all such applicable law, regulations/guidelines in force from time to time.

I/We specifically understand and accept the following:

- I/We understand that Accounts/Customer ID can be opened by Proprietorship Firms, Partnership Firms, Private and Public Limited Companies, HUF's, Societies, Trusts etc
- I/We understand that if I have opened the said account with form 49A, I/we need to submit PAN to the Bank within stipulated days from the date of account opening failing which Bank will be constrained to freeze my account

I/We hereby declare that the information provided herein is true and correct.


**Name of the Authorized Signatory\***

**Signature of the Authorized Signatory (with stamp)\***  
**(To be signed by any one Signatory)**