YES BANK	FORM 39 - HOLD ON SECURITIES FOR NON DISPOSAL UNDERTAKING/AGREEMENT	NSDL

Yes Bank Limited Branch Code: ☐☐☐☐ Branch Name: _____	Serial No.	
	Date	

I/We request you to (Please tick in any **one** of the boxes as may be applicable)

☐ Create the Hold ☐ Release the Hold	☐ Confirm the Creation of Hold ☐ Confirm the Release of Hold ☐ Cancellation of the confirmation of Release of Hold

Details of Client in whose account the securities are held		Details of Other party in whose favor hold is crated	
DP ID		Other Party DP ID	
DP Name		Other Party DP Name	
Client ID		Other Party Client ID	
Client Name		Other Party Name	

To be filled in case of request of creation	Name of Company (if part of NDU)	
	Name of Promoters (if part of NDU)	
	NDU/ Agreement Reference Number	

Please tick in any **one** of the boxes as may be applicable for cooling period of release the hold. (If not ticked default cooling period will be 4 days)	☐ 2 days ☐ 3 days ☐ 4 days

Sr. No.	ISIN	Security Name	Quantity (In figures) (Refer Instruction No.1)	Quantity (In words) (Refer Instruction No. 1)	Hold DM Order No. (Refer Instruction No. 2)	Reasons for rejections (if any) (To be filled in at the time of Confirmation)

Execution Date								

Please tick in case of request for creation hold

☐ I/we _____ <name of the other party> hereby authorise the Participant of the Client holding the securities on which hold is being created to access the my/authorized signatory(ies) signatures as recorded in my/our aforesaid Demat Account.

_____
Authorised Signatory(ies)

_____
Other Party Authorised Signatory(ies)

Signatures of both Client as well as other party are required in case of request of creation of hold and release hold to be submitted to the Participant of Client.

Instructions:

1. To be submitted in duplicate for acknowledgement.
2. Hold Quantity or Release Quantity to be mentioned as the case may be.
3. Hold DM Order No. to be filled by the client (except at the time of creation of hold to be filled in by the participant)
4. Strike out whichever is not applicable.