

MULTIPLE ORDER CTF FORM

YES BANK

Request Reference No. _____

Date:

I/We _____ (Customer Name as per Bank Records) hereby authorize YES BANK Ltd. to purchase/redeem/switch units on my/our behalf in the mutual funds schemes as listed in the table below.

Cust ID:

Bank A/C No.

Investment A/C No:

Trade date: Today Later Date

Risk Profile: To be entered by Customer _____

BUY / SELL

Sr. No.	Name of the Scheme with Option	Folio No.	Type of Transaction	Amount (₹) / Units	No. of Units
1			<input type="checkbox"/> BUY <input type="checkbox"/> SELL		
2			<input type="checkbox"/> BUY <input type="checkbox"/> SELL		
3			<input type="checkbox"/> BUY <input type="checkbox"/> SELL		
4			<input type="checkbox"/> BUY <input type="checkbox"/> SELL		
5			<input type="checkbox"/> BUY <input type="checkbox"/> SELL		

Total Buy Amount (in words): _____

SIP / SWP

Sr. No.	Name of the Scheme with Option	Folio No.	Type of Transaction	Amount (₹) / Units	Scheme Details
1			<input type="checkbox"/> SIP <input type="checkbox"/> SWP		Frequency: No of Installments: Subsequent Date:
2			<input type="checkbox"/> SIP <input type="checkbox"/> SWP		Frequency: No of Installments: Subsequent Date:
3			<input type="checkbox"/> SIP <input type="checkbox"/> SWP		Frequency: No of Installments: Subsequent Date:

SWITCH / STP

Sr. No.	Transfer from Scheme Name with Option	Folio No.	Type of Transaction	Amount (₹) / Units	Transfer to Scheme Name with Option	Scheme Details
1			<input type="checkbox"/> SWITCH <input type="checkbox"/> STP			Frequency: No of Installments: Subsequent Date:
2			<input type="checkbox"/> SWITCH <input type="checkbox"/> STP			Frequency: No of Installments: Subsequent Date:
3			<input type="checkbox"/> SWITCH <input type="checkbox"/> STP			Frequency: No of Installments: Subsequent Date:

Signature of 1st Applicant

Signature of 2nd Applicant

Signature of 3rd Applicant

Name: _____

Name: _____

Name: _____

Declaration:

I/We hereby confirm that this is an execution instruction from my/our end and hence EUIN is not required to be provided by YES Bank Limited as this transaction is executed without any interaction or advice by the employee/relationship manager/salesperson of YES Bank Limited or not with standing the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the YES Bank Limited.

I/We hereby agree that I/We have read and understood the Terms and Conditions related to the investments in mutual funds from YES Bank Limited and the offer document(s) of the scheme(s) in respect of the transactions as mentioned in the above execution instructions given by me/us.

I/We hereby confirm that, I/We have been disclosed all the commissions (in the form of trail commission), payable to YES Bank Limited for executing the transaction as mentioned in the above execution instructions given by me/us (same is updated and available on our website).

I/We hereby confirm that, cancellation of any request as mentioned in the above execution instructions given by me/us, can be done till cut off time/ bank closing time.

I/ We hereby confirm that I/ We have read Key Information Memorandum (KIM) and Scheme Information Document (SID).

Cutoff time for various instructions are as under :

- a) Purchase, redemption, switches on the same day - cut off shall be Equity/Debt (02 : 00 pm) and Liquid (12:00 noon)
- b) Purchase, redemption, switches on later date - cut off shall be at 07:00 am on scheduled transaction date.

I/ We hereby agree to indemnify and keep YES Bank Limited indemnified, saved, defended and harmless at all times from and against all costs, charges, expenses, damages, liabilities proceedings, actions, penalties, suits, litigations, claims and any loss suffered by me/us which may be made on or instituted against or caused to or suffered or incurred by YES Bank Limited arising as a result of executing the transactions as mentioned in the above execution instructions given by me/us.

I/We hereby confirm that nomination for this transaction will be updated as per details mentioned in Investment Service Account/ existing folio.

Signature of 1st Applicant	Signature of 2nd Applicant	Signature of 3rd Applicant
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Name: _____ Name: _____ Name: _____

Transaction Against Profile

I/We hereby agree, declare and understand that as per my/our current risk profiling done and conveyed by YES Bank, investment in this product (s) as selected by me / us may or may not be suitable to my/our current risk profile and investment horizon. However, basis my financial goals, investment tenure and requirements, I/We have made a conscious decision to invest in this product(s) after understanding and considering the risk associated in making investments in this product. Also, I/We shall be solely liable for loss / damage, if any, caused due to investing in this product(s).

_____ Client Signature

Note & Instructions:

1. Investment will be made in the holding pattern of the investment account selected & the form is required to be signed as per the mode of operation of the above mentioned Account.
2. The transaction will be executed subject to availability of sufficient CLEAR funds in my/our above mentioned Account (OD, Sweep in, CC balance will NOT be treated as CLEAR Balance) at the time of execution of the transaction by YES Bank Limited.
3. Mutual Fund investments are subject to market risks, read the Scheme Information Document and Statement of Additional Information carefully before investing.

Bank Use Only:

Role	Employee Code	Name	Signature
Maker & Signature Verified by			
Checker			
Lead Generator (LG)			
Lead Converter (LC)			