



**BENEFICIAL OWNERSHIP DETAILS FOR PARTNERSHIP FIRM / LIMITED LIABILITY PARTNERSHIP (LLP) / ASSOCIATION OF PERSON (AOP)**

Date:

To,  
Branch Manager  
YES BANK, \_\_\_\_\_ Branch

Name of Partnership Firm/LLP/AOP \_\_\_\_\_

**Section A**

I/We the undersigned hereby declare that the following natural person(s) are Beneficial Owner(s)\* in the captioned Firm (directly or indirectly)

Sr. No	Name(s)	Date of Birth	Nationality	Designation^	Pan No/ Passport No #	Address

**AND/OR**

**Section B** (To be filled only if the captioned firm is having controlling ownership by a Listed Company OR is a Subsidiary of a Listed Company)

I/We the undersigned hereby declare that our firm is (Select one of the appropriate option)

Having controlling ownership by

Is a Subsidiary of

\_\_\_\_\_ (Name of Company) which is listed in \_\_\_\_\_ Stock Exchange

For \_\_\_\_\_ (Name of Partnership Firm/LLP/AOP)

Signature(s)<sup>s</sup> – At Least TWO Partners (Firm)/ TWO Designated Partners (LLP) / TWO Members (AOP)

**Refer to the following instructions while filling details in the format above:**

- a) For the columns marked with # :
  - i. PAN Number to be provided for Resident Individuals. In absence of PAN, valid ID document to be submitted. If minor, then age proof to be provided.
  - ii. Passport Number to be provided for Foreign National / NRI if not having PAN Number.
- b) For columns marked with ^ : Designation here shall mean status of Beneficial Owner in Firm/Entity i.e. Partners, Owners, Members, Shareholder, Promoter, Controller, etc. If Beneficial Owner is Senior Managing Official then Designation shall mean – Chairman, President, Secretary, Manager, CEO, CFO, Such other officer as prescribed by firm / entity
- c) Address mentioned should be of the Residence of the Individuals.
- d) \$ - The declaration to be signed by at least TWO officials of the firm / entity i.e. Partners in Partnership Firm, Designated Partners in LLP, Members in AOP, with Seal / Stamp.
- e) If the space in one declaration format is not sufficient to mention details of all Beneficial Owners, please use separate declarations (in bank format) and attach all together with Account Opening Form.

**\*Explanation on who is a Beneficial Owner, where client is a Firm / Unincorporated Association / Body of Individuals, as per RBI guidelines:**

- A. *Where the client is a partnership firm the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of/ entitlement to more than 15% of the capital or profits of the partnership;*
- B. *Where the client is an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of or entitlement to more than 15% of the property or capital or profits of such association or body of individuals*
- C. *Where no natural person is identified under A or B above, the beneficial owner is the relevant natural person who holds the position of senior managing official;*
- D. *Where client or owner of the controlling interest is a company listed on a stock exchange, or is a subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies*