

Application Form No. :

(For Head Office use only)
Client ID :

Date (DD/MM/YYYY) :

(For Branch use only)
Branch Code :

Branch Name :

SSC Code :

Inward No. :

Depository Services Account Opening Form

For Corporates



DP ID IN303270

YES BANK Ltd.
YES BANK Tower, IFC-2, 15th Floor, Senapati Bapat Marg,
Elphinstone (W), Mumbai - 400013

Serial No.






Declaration


- The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts.
- I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting,
- I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations.
- I/We declare that all the particulars and information given in the application are true, correct, complete and updated in all respects and I/we have not withheld any information.
- I/We agree and confirm that I/we have read the terms and conditions of the account facility, and the terms and conditions pertaining to usage of channels for access of the said account facility as put up on www.yesbank.in and am/are aware of and will adhere to all the terms/conditions of opening/ maintaining the said account and usage of the channels with / by YES BANK Ltd. as may be in force from time to time. I/We further declare that I/we have read and are/am aware of and will adhere to the rules of the Depository with relation to maintenance and operation of the account.
- I/We understand that certain particulars provided by me/us are required by the operational guidelines governing banking companies. I/We understand that YES BANK Ltd. /and its agents reserve the right to reject any application without providing any reason. I/We further declare and confirm that the credit facilities, if any, enjoyed by me/us with other banks have been disclosed herein above.
- I/We authorize YES BANK Ltd./and its agents to make references and enquiries with respect to the information required in the application form which YES BANK Ltd. or its agents consider necessary. I/We undertake to inform YES BANK Ltd./ its Agents regarding any change in my/our residence/employment and to provide any further information that YES BANK Ltd./ its Agents may require.
- I/We authorise YES BANK Ltd./ its Agents to exchange, share or part with all the information relating to my/our application and transaction information to other YES BANK Ltd./ its Agents/ Banks/ Financial Institutions/Credit Bureaus/ Statutory Bodies and shall not hold YES BANK Ltd./ its Agents or other persons to whom such information is disclosed liable for the disclosure or use of such information.
- We, the joint applicants hereby confirm that we have instructed and authorised the first applicant to view/access the information on the said account for and on behalf of all of the undersigned and under our specific instructions as stated in this letter. We hereby state that should we wish to revoke the above authorisation, we shall duly issue a letter of revocation to YES BANK in this regard. We hereby agree that until ten days after YES BANK receives such letter revoking the above mandate, the authorisation as afore stated shall hold good.
- I/We hereby declare and confirm that, it shall be my/our responsibility to ensure legal and regulatory compliance for the investments made by me/us, including but not limited to the Foreign Exchange Management Act, 1999 and terms and conditions of NRE/NRO account and Exchange Control Regulations. (Applicable in the case of non-residents).
- I/We further agree that any false / misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Date:

Place: _____

Photographs of Authorised Signatories [Please provide documentary proof of the Identity & Address of all the Account Holders - options listed under documentary proof below.] In case of HUF, details of Karta to be given

Sole/First Account Holder First Signatory/Karta of HUF	Sole/First Account Holder Second Signatory	Sole/First Account Holder Third Signatory	Second Account Holder	Third Account Holder
 Please paste a recent passport size photograph (Don't staple) Sign across photograph	 Please paste a recent passport size photograph (Don't staple) Sign across photograph	 Please paste a recent passport size photograph (Don't staple) Sign across photograph	 Please paste a recent passport size photograph (Don't staple) Sign across photograph	 Please paste a recent passport size photograph (Don't staple) Sign across photograph

Sole/First Account Holder	Name	Signature(s)* 
First Signatory/Karta of HUF		X
Second Signatory		X
Third Signatory		X
Other Account Holder(s) Second Account Holder		X
Third Account Holder		X

* Thumb impressions and signatures other than English or Hindi or any of the other languages contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate.

Notes:

- All communication shall be sent at the address of the Sole/First holder only.
- Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- Instructions related to nomination, are as below:
 - The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
- Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
- Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
- The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
- On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- Strike off whichever is not applicable.

RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS PRESCRIBED BY SEBI AND DEPOSITORIES

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

**RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT
AS PRESCRIBED BY SEBI AND DEPOSITORIES**

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Schedule of Charges – DEMAT ACCOUNT

w.e.f. August 1, 2020

Charge	Type	Resident Individual/HUF	Global Indian Banking (Non Resident)	Corporate	Basic Services Demat Account (BSDA)		
A/C opening Charges		Nil			Equity#	Debt ^A	
Annual Maintenance Charges(AMC)*		₹ 500	₹ 1000	₹ 500	NIL AMC if Value of Holding Value is between	0 to 50,000	
					Rs. 100 AMC if Value of Holding Value is between	50,001 to 2,00,000	1,00,001 to 2,00,000
					Rs. 500 AMC if Holding Value more than	Rs. 2,00,000	Rs. 2,00,000
Credit Transaction (Buy : Market & Off Market)		Nil					
Debit Transaction (Market, Off Market, Inter- depository)	% of transaction value of each ISIN	0.04% (Min ₹ 20)	0.04% (Min ₹ 20)	0.04% (Min ₹ 30)	0.06% (Min ₹ 50)		
	Rejection/Fail	₹ 30	₹ 30	₹ 30	₹ 30		
	Extra charges for processing of Instructions submitted on the execution date	₹ 10 per ISIN	₹ 10 per ISIN	₹ 10 per ISIN	₹ 10 per ISIN		
Dematerialization	Per Request Form	₹ 30	₹ 30	₹ 35 min ₹ 40	₹ 30		
	Per Certificate	₹ 2	₹ 2	₹ 2	₹ 2		
Rematerialisation	Per request form	₹ 20	₹ 20	₹ 20 min ₹ 30	₹ 20		
Closure of Account		Nil					
Pledge	Creation/Closure/Confirmation/Invocation (% of the value for each ISIN in each request)	0.04% (Min ₹ 30; Max ₹ 50,000)	0.04% (Min ₹ 30; Max ₹ 50,000)	0.04% (Min ₹ 30; Max ₹ 50,000)	0.04% (Min ₹ 30; Max ₹ 50,000)		
Additional account statements		₹ 20	₹ 20	₹ 20	₹ 20		
NDU	% of transaction value of each ISIN	0.02% (Min ₹30/-; Max ₹50,000)	0.02% (Min ₹30/-; Max ₹50,000)	0.02% (Min ₹30/-; Max ₹50,000)	0.02% (Min ₹30/-; Max ₹50,000)		
Margin Pledge Initiation / Release / Invocation		Rs. 10	Rs. 10	Rs. 10	Rs. 10		

*AMC discounts applicable to special customer segments like YES Respect (1st year waiver), YES Grace (1st year waiver), SMART Salary Exclusive (1st year 50% waiver), SMART Salary Platinum (1st year 100% waiver), YES Premia (1st year 100% waiver and 50% AMC from 2nd year onwards). All charges are waived off for YES First customers (except NSDL/CDSL charges)

Terms and Conditions

- All the above charges are exclusive of NSDL / CDSL Charges and will be charged at actuals
- NSDL / CDSL charges are available on YES Bank website. Kindly visit <https://www.yesbank.in/personal-banking/yes-individual/wealth-management/demat-account>
- The value of shares and charges are calculated as per NSDL /CDSL formula and rates
- There will be a charge of ₹100 for dishonour of any cheque or unsuccessful attempt to recover payment through direct debit or ECS. The depository services are liable to discontinuation if YES BANK is unable to recover charges from the customer, for any reason whatsoever.
- Any service that is not indicated above will be charged separately as per the rates applicable from time to time.
- YES BANK reserves the right to revise the tariff structure from time to time, with a notice of 30 days. The notice may be given by ordinary post or by an advertisement in a national daily.
- Annual Maintenance Charges are levied, in advance, for a period of one year at the beginning of the billing cycle. If the Demat Account is closed during the year, pro-rata refund of Annual Service Charge will be made.
- Government levies /Taxes chargeable extra as per applicable rates.
- Transaction charges will be payable monthly.
- To evaluate the eligibility of Basic Services Demat Accounts (BSDA) the value of holding will be determined on a daily basis, as per the file sent by the NSDL/CDSL. The AMC will be calculated at pro rata basis based on the value of holding of securities in the demat account.
- In case the demat account with BSDA facility does not meet the listed eligibility criteria as per guidelines issued by SEBI or any such authority at any point of time, such BSDA accounts will be converted to standard demat account without further reference to respective customers and will be levied standard charges.
- Demat customers eligible for the BSDA facility need to register their mobile number for the SMS alert facility for debit instructions.
- Operating instructions of the joint accounts must be signed by all the holders.
- All instructions for transfer must be received at the designated DP servicing branches of the YES BANK at least 24 hours before the execution.

I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant."

(C ₄) X	X	X
Sole/First Account Holder (First Signatory)	Sole/First Account Holder (Second Signatory)	Sole/First Account Holder (Third Signatory)
X	X	
Second Account Holder	Third Account Holder	

KNOW YOUR CLIENT (KYC) FORM FOR NON-INDIVIDUALS

Details of Promoters / Partners / Karta / Trustees and Whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

1.	Name	<table border="1" style="width: 100%; height: 20px;"> <tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr> </table>																						Please paste a recent passport size photograph (Don't staple) Sign across photograph							
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Name and Signature of the Authorised Signatory(ies)	Date: <table border="1" style="display: inline-table; text-align: center;"> <tr> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">D</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">M</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> <td style="width: 20px; height: 20px;">Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM
A. IMPORTANT POINTS

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted
10. For opening a minor's account with Depository Participant or Mutual Fund, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following:
Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as C.Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill NOT more than **3 months old**.

3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN
(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

CHECK LIST

Please tick the relevant boxes.

1. Signatures	Signature of (C - Client(s), N - Nominee, W - Witness(es) G - Guardian)	Check Required	Please Tick
Client's signature across the Photograph	C1	Signature of all Authorised Signatories	<input type="checkbox"/>
Declaration (Please sign carefully as we are registering this signature in our record)	C2	Signature of all Authorised Signatories	<input type="checkbox"/>
Letter of Confirmation	C3	Signature of all A/c Holders	<input type="checkbox"/>
Schedule of Charges	C4 & C5	Signature of all A/c Holders	<input type="checkbox"/>
2. Enclosures			
Duly verified copies of Address Proof * (both Registered and Correspondence/Foreign)		As per the listed options	<input type="checkbox"/>
Verification by Banker of the signatures of Authorised Signatories*		As per the listed options	<input type="checkbox"/>
Duly certified copies of Memorandum & Articles of Association/Trust Deed/Bye-Laws, alongwith certified copy of Certificate of Incorporation/Registration Certificate*			<input type="checkbox"/>
List of authorised signatories, their specimen signatures & photographs*			<input type="checkbox"/>
Board/Trust Resolution for opening of Demat Account* (with specific mode of operation)			<input type="checkbox"/>
Duly verified copy of PAN Card of the Company*			<input type="checkbox"/>
Certified copy of Power of Attorney (POA) and Identity & Address proofs of POA holder(s)* (if applicable)			<input type="checkbox"/>
Duly verified copies of MAPIN ID, if the client has specified the MAPIN details*			<input type="checkbox"/>
3. Photographs (all the photographs should be pasted, not stapled)			
Photographs of Authorised Signatories			<input type="checkbox"/>
Photograph of POA Holders (in case of POA only)			<input type="checkbox"/>
* Self attestation by the client to be obtained on all pages of photocopies of the documents.			
4. Other important mandatory checks			
<ul style="list-style-type: none"> ▪Bank Details are complete. ▪Name mentioned on all the documents and on the form is legible and is the same everywhere. ▪Avoid writing "Same as above" in the address fields. ▪The client(s) should not use more than one specimen signature and the same should be uniform across the form. If there is any alteration in the signature, the Branch Manager's attestation is required with a stamp. ▪Branch official should not sign and should not affix the round stamp on the agreement on page no. 6 as Authorised Signatory for YES BANK Ltd. ▪Branch official should give 'KYC Certification'. ▪This Form should be filled in completely in all respects. ▪Telephone no. and Fax no. should be mentioned with the ISD/STD codes. ▪Authorised Signatories should affix their signatures alongwith the company's rubber stamp. ▪In case of a Foreign Body, approval is required from (a) RBI or (b) FIPB or (c) FDI or (d) Ministry of Commerce & Industries. ▪Signature appearing on the proofs should tally with the signatures in the form. ▪Branch official should affix a stamp as 'PAN Verified' on all the photocopies of PAN cards. 			
5. Checklist verified :			
For Branch Sign off			
YES BANK Personnel's name _____ Signature _____ Customer ID _____			
6. Checklist verified :			
For CPU Sign off			
YES BANK Personnel's name _____ Signature _____ Customer ID _____			

**KNOW YOUR CLIENT (KYC) FORM
FOR NON-INDIVIDUALS**

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). <input type="checkbox"/> Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. <input type="checkbox"/> Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. <input type="checkbox"/> Copies of the Memorandum and Articles of Association and certificate of incorporation. <input type="checkbox"/> Copy of the Board Resolution for investment in securities market. <input type="checkbox"/> Authorised signatories list with specimen signatures.
Partnership firm	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered partnership firms only). <input type="checkbox"/> Copy of partnership deed. <input type="checkbox"/> Authorised signatories list with specimen signatures. <input type="checkbox"/> Photograph, POI, POA, PAN of Partners.
Trust	<input type="checkbox"/> Copy of the balance sheets for the last 2 financial years (to be submitted every year). <input type="checkbox"/> Certificate of registration (for registered trust only). <input type="checkbox"/> Copy of Trust deed. <input type="checkbox"/> List of trustees certified by managing trustees/CA. <input type="checkbox"/> Photograph, POI, POA, PAN of Trustees.
HUF	<input type="checkbox"/> PAN of HUF. <input type="checkbox"/> Deed of declaration of HUF/ List of coparceners. <input type="checkbox"/> Bank pass-book/bank statement in the name of HUF. <input type="checkbox"/> Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<input type="checkbox"/> Proof of Existence/Constitution document. <input type="checkbox"/> Resolution of the managing body & Power of Attorney granted to transact business on its behalf. <input type="checkbox"/> Authorized signatories list with specimen signatures
Banks / Institutional Investors	<input type="checkbox"/> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<input type="checkbox"/> Copy of SEBI registration certificate. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Army/ Government Bodies.	<input type="checkbox"/> Self-certification on letterhead. <input type="checkbox"/> Authorized signatories list with specimen signatures.
Registered Society	<input type="checkbox"/> Copy of Registration Certificate under Societies Registration Act. <input type="checkbox"/> List of Managing Committee members. <input type="checkbox"/> Committee resolution for persons authorised to act as authorised signatories with specimen signatures. <input type="checkbox"/> True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

YES BANK is built on a foundation of trust, strengthened by knowledge, backed by cutting-edge technology, governed by transparency and committed to responsible banking. It is our continuous endeavor to provide you with innovative financial solutions to meet all your banking needs, while offering you a consistent & superior service experience.

YES FOR YOU!

 <p>Best Mid-sized Bank</p> <p>Business Today - KPMG Best Banks Annual Survey - 2016</p>	 <p>Strongest Bank in India - 2015</p> <p>The Asian Banker Awards Geneva - 2016</p>	 <p>Transaction Banking Awards</p> <p>Payments Winner London, 2016, 2014</p>	 <p>Youngest Indian Company in Forbes Global 2000</p> <p>2016</p>
 <p>Digital Bank of Distinction Corporate/ Institutional Bank – Asia, London - 2016 Best Corporate/ Institutional Digital Bank – India, New York - 2015</p> <p>Global Finance Best Digital Bank Award</p>	 <p>Best Trade Finance Bank in India - 2016 Best Corporate Payments Project India – 2016</p> <p>The Asian Banker Achievement Awards Vietnam 2016</p>	 <p>India Domestic Cash Management Bank of the Year 2016, 2015 India Domestic Trade Finance Bank of the Year, 2015</p> <p>Asian Banking & Finance Wholesale Banking Awards Singapore</p>	 <p>Innovation Award for 'API Banking' and 'Bank in a Box'</p> <p>India - 2016</p>
 <p>Asia's Best Bank For Corporate Social Responsibility</p> <p>Euromoney Excellence Awards Hong Kong - 2016</p>	 <p>Continues to be the First and Only Indian Bank included in DJSI Emerging Markets Index</p> <p>New York - 2016, 2015</p>	 <p>Sustainability Award Environment Management Award</p> <p>Golden Peacock Global Convention London 2016</p>	 <p>Best Innovation & Sustainable Financial Products & Services</p> <p>Karlsruhe Sustainable Finance Awards, Germany, 2016</p>

For more information:



YES TOUCH PhoneBanking Number:

Toll Free number from **USA / Canada:** 1877 659 8044 **UK:** 808 178 5133 **UAE:** 8000 3570 3089
1800 2000 (Toll Free for Mobile & Landlines in India) +91 22 30993600 (When calling from Outside India)



SMS "Help" space <CUST ID> to +91 92233 90909



Log on to our website www.yesbank.in



Email us at yes touch@yesbank.in

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Registered Office: YES BANK Tower, IFC-2, 15th Floor, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400013

Website: www.yesbank.in Email: yes touch@yesbank.in

For a complete list of Branches and ATMs, visit <http://www.yesbank.in/branches>

Unique advantages of your Demat Account

- ✓ Conversion of physical certificates into electronic form
- ✓ Security holdings represented in electronic form
- ✓ No minimum holdings requirement
- ✓ Transfers exempt from stamp duty, as in physical shares
- ✓ Ability to hold odd lots. Sale and Purchase of any quantity permitted
- ✓ Pledge facility is available for electronic holdings
- ✓ Faster and simplified settlement process
- ✓ Direct allotment in electronic form during IPO, Rights & Bonuses
- ✓ Nomination Facility available