FORM 15 (G)

See Rule 29 (C)

YES BANK

Declaration under sub-sections (1) and (1A) of section 197(A) of the Income-tax Act, 1961, to be made by an individual or a person (not being a company or a firm) claiming certain receipts without deduction of tax

Customer ID | | | | | | | | | |

I/We* _____
son/daughter/wife* of _____
resident of _____
@do hereby declare –

1. *that I am a shareholder in _____ _____ (name and address of the company) and the shares in the said company, particulars of which are given in Schedule I below, stand in my name and are beneficially owned by me, and the dividends, therefrom are not includible in the total income of any other person under sections 60 to 64 of the Income-tax Act, 1961;

   OR

   *that the securities or sums, particulars of which are given in Schedule II or Schedule III or Schedule IV below, stand in *my/our name and beneficially belong to *me/us, and the *interest in respect of such securities or sums and/or income in respect of units is/are not includible in the total income of any other person under sections 60 to 64 of the Income-tax Act, 1961;

   OR

   *that the particulars of my account under the National Savings Scheme and the amount of withdrawal are as per the Schedule V below;
2. that *my/our present occupation is _____;
3. that the tax on *my/our estimated total income, including —

   *the dividends from shares referred to in Schedule I below;

   AND / OR

   *interest on securities, interest other than "interest on securities" and/or income in respect of units, referred to in Schedule II, Schedule III and/or Schedule IV below;

   AND / OR

   *the amount referred to in clause (a) of sub-section (2) of section 80CCA, mentioned in Schedule V below, computed in accordance with the provisions of the Income-tax Act, 1961, for the previous year ending on _____ relevant to the assessment year _____ will be nil;
4. that *my/our income from *dividend/interest on securities/interest other than "interest on securities"/units /amounts referred to in clause (a) of sub-section (2) of section 80CCA or the aggregate of such incomes, computed in accordance with the provisions of the Income tax Act, 1961, for the previous year ending on _____ relevant to the assessment year 20____ - 20_____ will not exceed the maximum amount which is not chargeable to income-tax;
5. that *I/we have not been assessed to income-tax at any time in the past but I fall within the jurisdiction of the Chief Commissioner or Commissioner of Income-tax

   OR

   that *I was/we were last assessed to income-tax for the assessment year 20____ - 20_____ by the Assessing Officer _____ Circle/Ward/District and the permanent account number allotted to me is _____;
6. that I *am/am not resident in India within the meaning of section 6 of the Income-tax Act, 1961;
7. Particulars of the *shares of the company/securities/sums/account under the National Savings Scheme and the amount of withdrawal referred to in paragraph 1 above, in respect of which the declaration is being made, are as under:

Schedule I

Number of Shares	Class of shares and face value of each share	Total face value of shares	Distinctive numbers of the shares	Date(s) on which the shares were acquired by the declarant

Schedule II

Description of securities	Number of securities	Date(s) of securities	Amount of securities	Date(s) on which the securities were acquired by the declarant

Schedule III

Name and Address of the person to whom the sums are given on interest	Amount of such sums	Date on which sums were given on interest	Period for which such sums were given on interest	Rate of Interest

Schedule IV

Name and Address of the Mutual Fund	Number of units	Class of units and face value of each unit	Distinctive number of units	Income in respect of units

Schedule V

Particulars of the post office where the account under the National Savings Scheme is maintained and the account number	Date on which the account was opened	The amount of withdrawal from the account

_____⊗
Signature of Declarant

Verification

*I/We _____ do hereby declare that to the best of *my/our knowledge and belief what is stated above is correct, complete and is truly stated.

Verified today, the _____ day of _____.
Place:

_____⊗
Signature of Declarant

NOTES

1. @Give complete postal address.
2. The declaration should be furnished in duplicate.
3. *Delete whichever is not applicable.
4. Before signing the verification, the declarant should satisfy himself that the information furnished in the declaration is true, correct and complete in all respects. Any person making a false statement in the declaration shall be liable to prosecution under section 277 of the Income-tax Act, 1961, and on conviction be punishable-
   (ii) in a case where tax sought to be evaded exceeds one lakh rupees, with rigorous imprisonment which shall not be less than six months but which may extend to seven years and with fine;
   (ii) in any other case, with rigorous imprisonment which shall not be less than three months but which may extend to three years and with fine.

PART II *[For use by the person to whom the declaration is furnished]*

1. Name and address of the person responsible for paying the income, mentioned in paragraph 1 of the declaration — YES BANK Limited,
2. Date on which the declaration was furnished by the declarant
3. Date of *declaration, distribution or payment of dividend/withdrawal from account number _____ under the National Savings Scheme
4. Period in respect of which *dividend has been declared/ Interest is being credited or paid/income in respect of units is being credited or paid
5. Amount of *dividend/interest or income in respect of units/withdrawal from National Savings Scheme Account _____
6. *Rate at which interest or income in respect of units, as the case may be, is credited/paid

Forwarded to the Chief Commissioner or Commissioner of Income-tax
Place:
Date:

_____
Signature of the person responsible for paying the income referred to in paragraph 1

According to amendments made in the Finance (No.2) Act, 2009 (Act) pertaining to Permanent Account Number (PAN) and TAX deduction at source: In the absence of PAN, Form 15G/H and other exemption certificates will be invalid even if submitted & penal TDS will be applicable.

TO BE FILLED BY THE BRANCH

Checklist for Form 15 (G) for Individuals less than 60 years of age

I have checked and confirmed the below:	Tick
1. Customer is <60 years (if >=60 years then fill form 15 H)	
2. PAN is updated in Bank Records against the Customer ID of customer	
3. Copy of PAN Card attached along with Account Maintenance Form *(if not updated in the system)*	
4. Customer has signed in six places (indicated by ⊗)	

Name of Employee _____ Employee Code _____
Employee Signature Date:

Branch Stamp

FORM 15 (G)

See Rule 29 I

YES BANK

Declaration under sub-sections (1) and (1A) of section 197(A) of the Income-tax Act, 1961, to be made by an individual or a person (not being a company or a firm) claiming certain receipts without deduction of tax

I/We* _____
son/daughter/wife* of _____
resident of _____
@do hereby declare –

1. *that I am a shareholder in _____ _____ (name and address of the company) and the shares in the said company, particulars of which are given in Schedule I below, stand in my name and are beneficially owned by me, and the dividends, therefrom are not includible in the total income of any other person under sections 60 to 64 of the Income-tax Act, 1961;

   OR

   *that the securities or sums, particulars of which are given in Schedule II or Schedule III or Schedule IV below, stand in *my/our name and beneficially belong to *me/us, and the *interest in respect of such securities or sums and/or income in respect of units is/are not includible in the total income of any other person under sections 60 to 64 of the Income-tax Act, 1961;

   OR

   *that the particulars of my account under the National Savings Scheme and the amount of withdrawal are as per the Schedule V below;
2. that *my/our present occupation is _____;
3. that the tax on *my/our estimated total income, including —

   *the dividends from shares referred to in Schedule I below;

   AND / OR

   *interest on securities, interest other than "interest on securities" and/or income in respect of units, referred to in Schedule II, Schedule III and/or Schedule IV below;

   AND / OR

   *the amount referred to in clause (a) of sub-section (2) of section 80CCA, mentioned in Schedule V below, computed in accordance with the provisions of the Income-tax Act, 1961, for the previous year ending on _____ relevant to the assessment year _____ will be nil;
4. that *my/our income from *dividend/interest on securities/interest other than "interest on securities"/units /amounts referred to in clause (a) of sub-section (2) of section 80CCA or the aggregate of such incomes, computed in accordance with the provisions of the Income tax Act, 1961, for the previous year ending on _____ relevant to the assessment year 20____ - 20_____ will not exceed the maximum amount which is not chargeable to income-tax;
5. that *I/we have not been assessed to income-tax at any time in the past but I fall within the jurisdiction of the Chief Commissioner or Commissioner of Income-tax

   OR

   that *I was/we were last assessed to income-tax for the assessment year 20____ - 20_____ by the Assessing Officer _____ Circle/Ward/District and the permanent account number allotted to me is _____;
6. that I *am/am not resident in India within the meaning of section 6 of the Income-tax Act, 1961;
7. Particulars of the *shares of the company/securities/sums/account under the National Savings Scheme and the amount of withdrawal referred to in paragraph 1 above, in respect of which the declaration is being made, are as under:

Schedule I

Number of Shares	Class of shares and face value of each share	Total face value of shares	Distinctive numbers of the shares	Date(s) on which the shares were acquired by the declarant

Schedule II

Description of securities	Number of securities	Date(s) of securities	Amount of securities	Date(s) on which the securities were acquired by the declarant

Schedule III

Name and Address of the person to whom the sums are given on interest	Amount of such sums	Date on which sums were given on interest	Period for which such sums were given on interest	Rate of Interest

Schedule IV

Name and Address of the Mutual Fund	Number of units	Class of units and face value of each unit	Distinctive number of units	Income in respect of units

Schedule V

Particulars of the post office where the account under the National Savings Scheme is maintained and the account number	Date on which the account was opened	The amount of withdrawal from the account

_____⊗
Signature of Declarant

Verification

*I/We _____ do hereby declare that to the best of *my/our knowledge and belief what is stated above is correct, complete and is truly stated.

Verified today, the _____ day of _____.
Place:

_____⊗
Signature of Declarant

NOTES

1. Give complete postal address.@
2. The declaration should be furnished in duplicate.
3. *Delete whichever is not applicable.
4. Before signing the verification, the declarant should satisfy himself that the information furnished in the declaration is true, correct and complete in all respects. Any person making a false statement in the declaration shall be liable to prosecution under section 277 of the Income-tax Act, 1961, and on conviction be punishable-
   (ii) in a case where tax sought to be evaded exceeds one lakh rupees, with rigorous imprisonment which shall not be less than six months but which may extend to seven years and with fine;
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4. Period in respect of which *dividend has been declared/ Interest is being credited or paid/income in respect of units is being credited or paid
5. Amount of *dividend/interest or income in respect of units/withdrawal from National Savings Scheme Account _____
6. *Rate at which interest or income in respect of units, as the case may be, is credited/paid

Forwarded to the Chief Commissioner or Commissioner of Income-tax
Place:
Date:

_____
Signature of the person responsible for paying the income referred to in paragraph 1

According to amendments made in the Finance (No.2) Act, 2009 (Act) pertaining to Permanent Account Number (PAN) and TAX deduction at source: In the absence of PAN, Form 15G/H and other exemption certificates will be invalid even if submitted & penal TDS will be applicable.

DISCLAIMER

1. Form 15G is to be filled only for Individuals less than 60 years (if >=60 yrs then fill Form 15H), Proprietorship, Society, Associations of Persons, Trust, HUF etc
2. TDS waiver will not be marked if PAN details are not updated on the Bank's records
3. TDS waiver will be marked from the date of submission of this form
4. TDS which has already been deducted will not be refunded back and to be sought from Income Tax Department
5. A fresh Form 15G needs to be submitted in each new Financial Year within the start of the Financial Year
6. Form 15G needs to be submitted in Triplicate
7. Form 15G needs to be submitted for every Fixed Deposits booked with the Bank
8. The Bank shall not be liable for any consequences or loss arising due to delay or non or wrong submission of Form 15G
9. I/We fully understand that wrong quoting of PAN may result in penal consequences under section 272B of Income Tax act 1961 and the bank is not responsible for any consequences arising due to wrong quoting of PAN

Branch Seal with signature and date

FORM 15 (G)

See Rule 29 (C)

YES BANK

Declaration under sub-sections (1) and (1A) of section 197(A) of the Income-tax Act, 1961, to be made by an individual or a person (not being a company or a firm) claiming certain receipts without deduction of tax

I/We* _____
son/daughter/wife* of _____
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do hereby declare -

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Branch Seal with signature and date